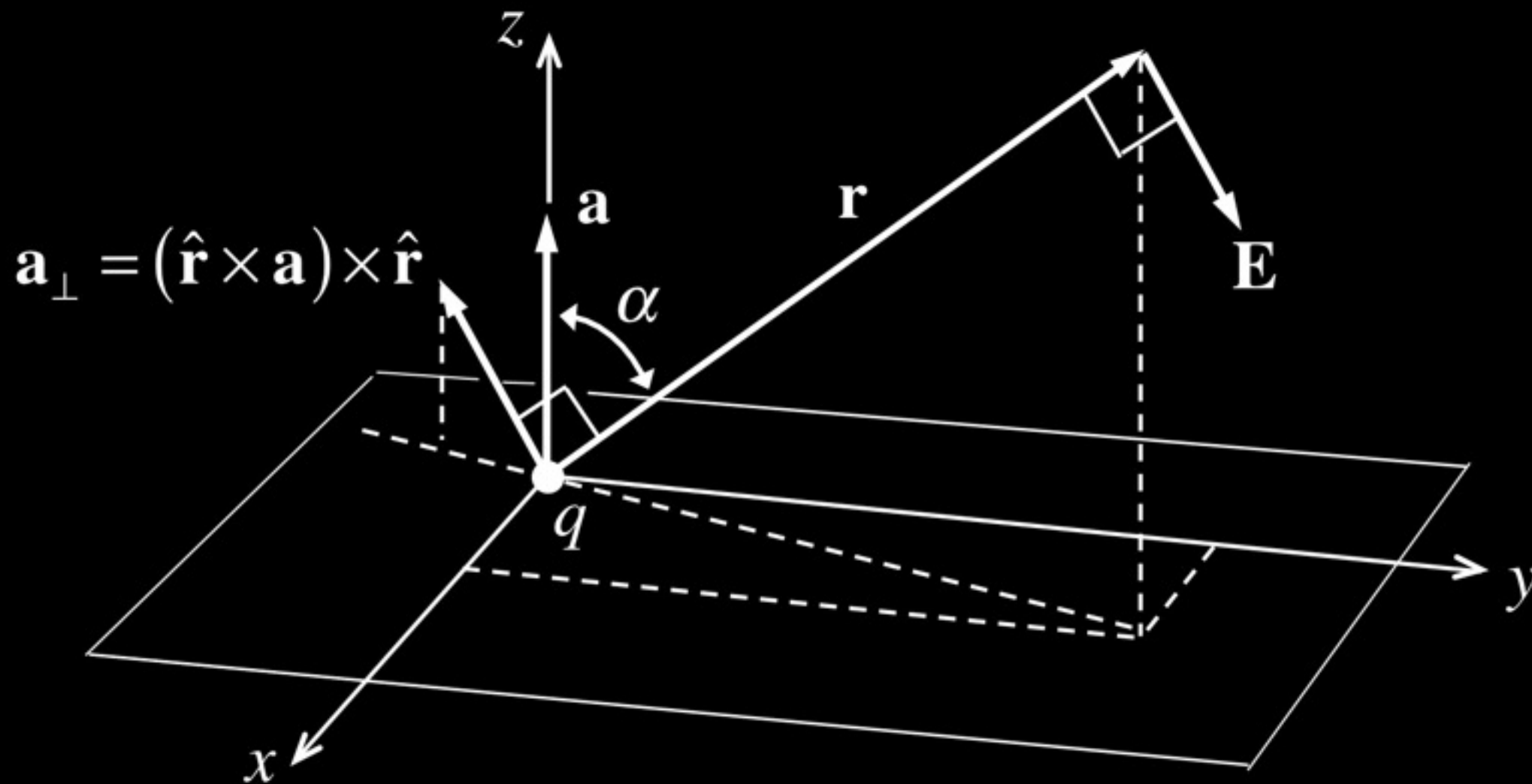


X-Ray scattering by a...

- free electron at rest
- bound electron in a free atom with $\omega \gg \omega_0$
- bound electron in a free atom with $\omega \approx \omega_0$
- many-electron atom
- polyatomic molecule
- lattice row
- crystal structure

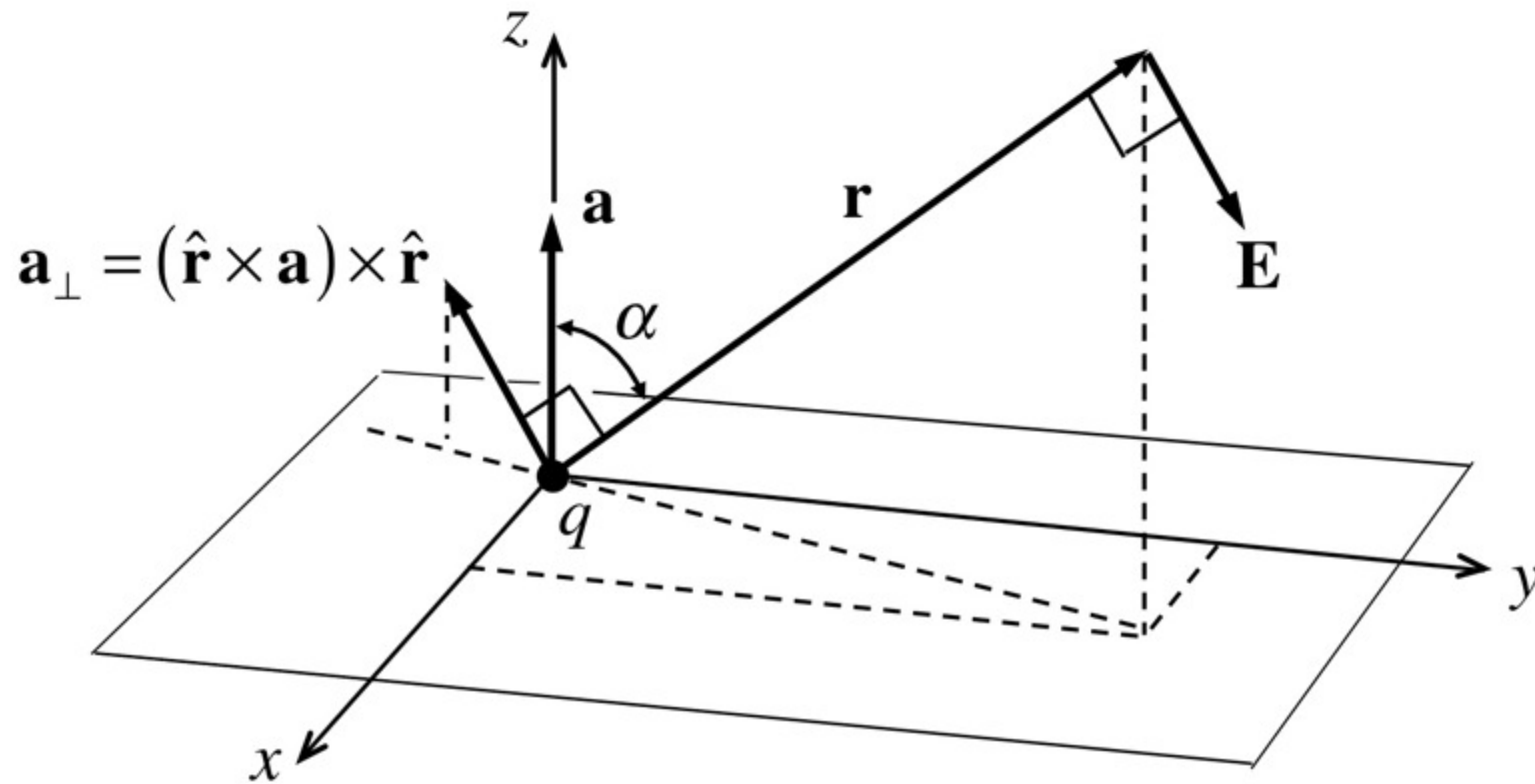
Electric field \mathbf{E} at a point at \mathbf{r} from a charge q that experiences an acceleration \mathbf{a}



$$\mathbf{E} = -\frac{q}{c^2 r} (\hat{\mathbf{r}} \times \mathbf{a}) \times \hat{\mathbf{r}}, \quad \hat{\mathbf{r}} = \frac{\mathbf{r}}{|\mathbf{r}|}, \quad |\mathbf{r}| = r$$

$$E = -\frac{q}{c^2 r} a \sin \alpha, \quad E = |\mathbf{E}|, \quad a = |\mathbf{a}|$$

Electric field \mathbf{E} at a point at \mathbf{r} from a charge q that experiences an acceleration \mathbf{a}



$$\mathbf{E} = -\frac{q}{c^2 r} (\hat{\mathbf{r}} \times \mathbf{a}) \times \hat{\mathbf{r}}, \quad \hat{\mathbf{r}} = \frac{\mathbf{r}}{|\mathbf{r}|}, \quad |\mathbf{r}| = r$$

$$E = -\frac{q}{c^2 r} a \sin \alpha, \quad E = |\mathbf{E}|, \quad a = |\mathbf{a}|$$

X-ray scattering by a free electron at rest (Gaussian cgs units)

driven
harmonic
oscillator

Coulombic
em
driving force

$$\mathbf{F} = q\mathbf{E} = q_e\mathbf{E} = -e\mathbf{E}_0 e^{i\omega t} = -e\mathbf{E}_0 [\cos(\omega t) + i\sin(\omega t)]$$

incident
X-ray
plane wave

Newton's
second law
of motion

$$\mathbf{F} = m\mathbf{a} = m_e \frac{d^2\mathbf{x}}{dt^2}$$

$$m\mathbf{a} = q\mathbf{E}$$

$$m_e\mathbf{a} = -e\mathbf{E}_0 e^{i\omega t}$$

$$\mathbf{a} = \frac{-e\mathbf{E}_0}{m_e} e^{i\omega t} = \mathbf{a}_0 e^{i\omega t}, \quad \mathbf{a}_0 = \frac{-e\mathbf{E}_0}{m_e}$$

em radiation
from an
accelerated charge

$$\left\{ \begin{array}{l} \mathcal{E} = -\frac{q}{c^2 r} \mathbf{a}_\perp, \quad |\mathcal{E}| = -\frac{q}{c^2 r} |\mathbf{a}| \sin \alpha, \quad \alpha = \sphericalangle \mathbf{a}, \mathbf{r} \\ \mathcal{E} = -\frac{q}{c^2 r} (\hat{\mathbf{r}} \times \mathbf{a}) \times \hat{\mathbf{r}} = -\frac{q}{c^2 r} \left(\frac{\mathbf{r}}{r} \times \mathbf{a} \right) \times \frac{\mathbf{r}}{r} \end{array} \right.$$

$$\mathcal{E}_0 = -\frac{q_e}{c^2 r} \mathbf{a}_0 = -\frac{-e}{c^2 r} \left(\frac{-e\mathbf{E}_0}{m_e} \right) = -\underbrace{\left(\frac{e^2}{m_e c^2} \right)}_{\text{scattered X-ray spherical wave}} \frac{\mathbf{E}_0}{r} = -r_e \frac{\mathbf{E}_0}{r}$$

scattered X-ray
spherical wave

Amplitude at \mathbf{r} in the equatorial plane
perpendicular to the polarization direction

X-ray scattering by a free electron, at rest or moving uniformly at a nonrelativistic velocity

(Gaussian cgs units)

$$\begin{cases} \mathbf{F}_{\text{Coulomb}} = q\mathbf{E} \\ \mathbf{F}_{\text{Newton}} = m\mathbf{a} \end{cases}$$

$$m\mathbf{a} = -e\mathbf{E}_0 e^{i\omega t}$$

Newton's
second law
force
 $\mathbf{F}=m\mathbf{a}$
em
Coulombic
driving
force
 $\mathbf{F}=q\mathbf{E}$

$$\mathbf{a} = -\frac{e}{m}\mathbf{E}_0 e^{i\omega t}$$

$$\boldsymbol{\mathcal{E}} = -\frac{q\mathbf{a}_\perp}{c^2 r} = -\frac{q}{c^2 r}(\hat{\mathbf{r}} \times \mathbf{a}) \times \hat{\mathbf{r}}, \quad \hat{\mathbf{r}} = \frac{\mathbf{r}}{|\mathbf{r}|}, \quad |\boldsymbol{\mathcal{E}}| = -\frac{q}{c^2 r} |\mathbf{a}| \sin \alpha, \quad \alpha = \sphericalangle \mathbf{a}, \mathbf{r}$$

$$\boldsymbol{\mathcal{E}} = -\frac{q\mathbf{a}}{c^2 r} = -\left(\frac{-e}{c^2 r}\right)\left(\frac{-e\mathbf{E}_0}{m}\right)e^{i\omega t} = -\underbrace{\left(\frac{e^2}{mc^2}\right)}_{\substack{\text{Thomson} \\ \text{scattering} \\ \text{length}}} \frac{\mathbf{E}_0}{r} e^{i\omega t} = \underbrace{\boldsymbol{\mathcal{E}}_0}_{\boldsymbol{\mathcal{E}}_0} e^{i\omega t}$$

X-ray scattering by a free electron (Gaussian cgs units)

$$\underbrace{\mathcal{E}_0 e^{i\omega t}}_{\text{scattered X-ray wave}} = - \underbrace{\left(\frac{e^2}{mc^2} \right)}_{r_e \text{ Thomson scattering length}} \frac{\mathbf{E}_0}{r} e^{i\omega t} = -r_e \frac{\mathbf{E}_0}{r} e^{i\omega t} = -\frac{r_e}{r} \mathbf{E}_0 e^{i\omega t}$$

$$r_e = \underbrace{\left(\frac{e^2}{mc^2} \right)}_{\text{classical electron radius}}$$

$$\frac{\text{charge}^2}{\text{mass} \cdot \text{velocity}^2} = \frac{\text{charge}^2}{\text{mass} \cdot \text{distance}^2 \cdot \text{time}^{-2}} = \frac{\text{force}}{\text{mass} \cdot \text{time}^{-2}} = \frac{\text{acceleration}}{\text{time}^{-2}} = \text{distance}$$

Classical electron radius

Electrostatic potential energy

$$E = q\phi(r) = q(q/r) = e^2/r_e$$

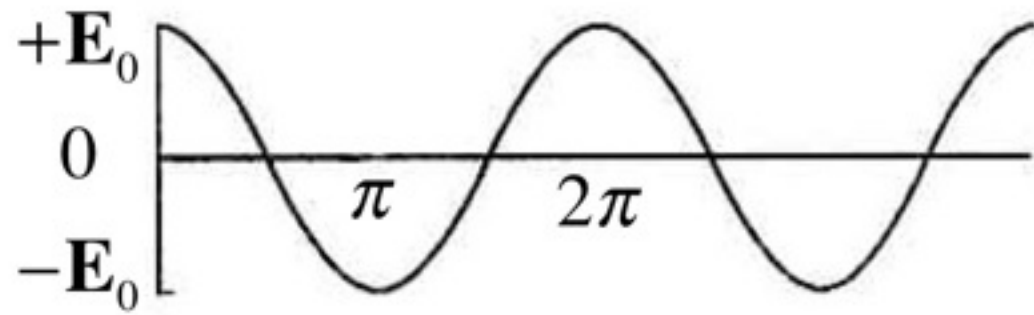
Relativistic mass - energy

$$E = m_e c^2$$

$$r_e = \frac{e^2}{m_e c^2}$$

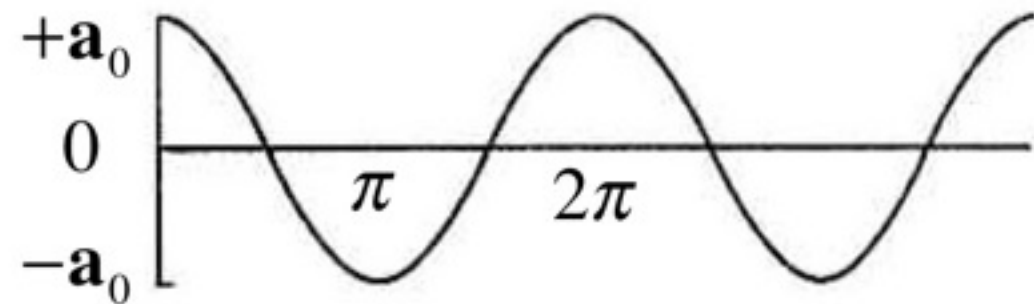
Phase reversal upon scattering of an electromagnetic wave by a point charge

incident
em wave



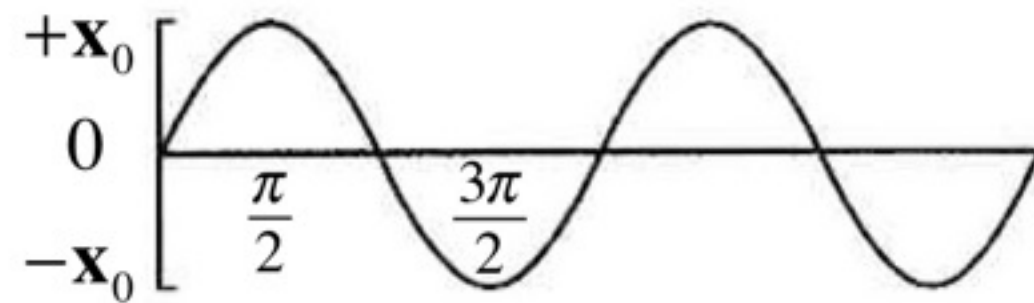
$$\left\{ \begin{array}{l} \varphi_{\mathbf{E}} \\ \mathbf{E} = \mathbf{E}_0 e^{i\omega t} \end{array} \right.$$

charge
acceleration



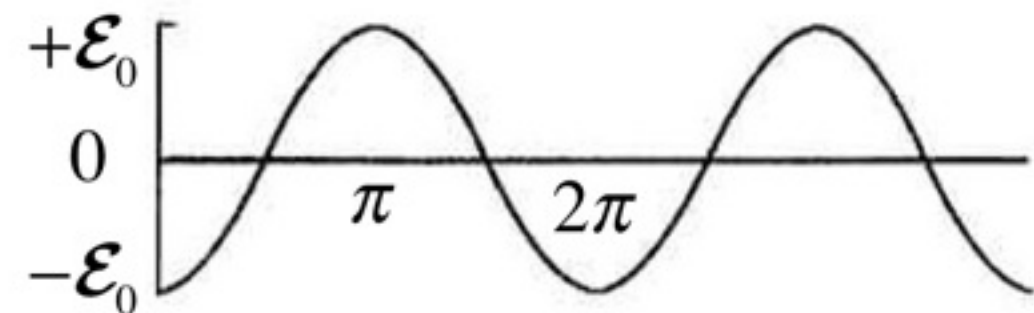
$$\left\{ \begin{array}{l} \varphi_{\mathbf{a}} = \varphi_{\mathbf{E}} \\ \mathbf{a} = \mathbf{a}_0 e^{i\omega t} \end{array} \right.$$

charge
displacement



$$\left\{ \begin{array}{l} \varphi_{\mathbf{x}} = \left(\varphi_{\mathbf{a}} - \frac{\pi}{2} \right) = \left(\varphi_{\mathbf{E}} - \frac{\pi}{2} \right) \\ \mathbf{x} = \mathbf{x}_0 \exp \left[i \left(\omega t - \frac{\pi}{2} \right) \right] \end{array} \right.$$

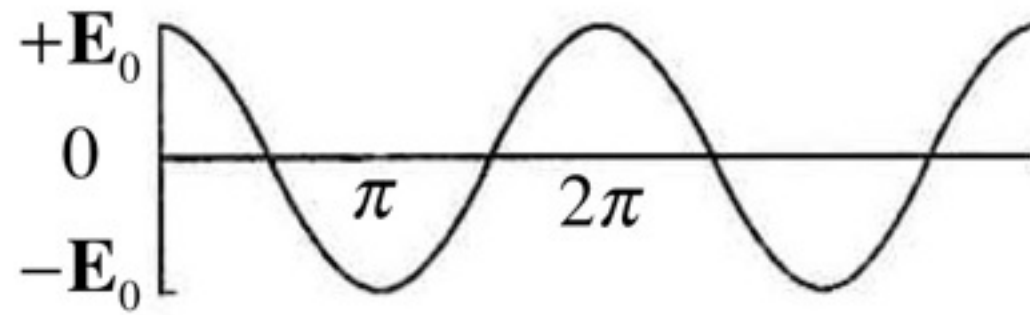
scattered
em wave



$$\left\{ \begin{array}{l} \varphi_{\mathcal{E}} = \left(\varphi_{\mathbf{x}} - \frac{\pi}{2} \right) = \left(\varphi_{\mathbf{E}} - \pi \right) \\ \mathcal{E} = \mathcal{E}_0 \exp \left[i(\omega t - \pi) \right] \end{array} \right.$$

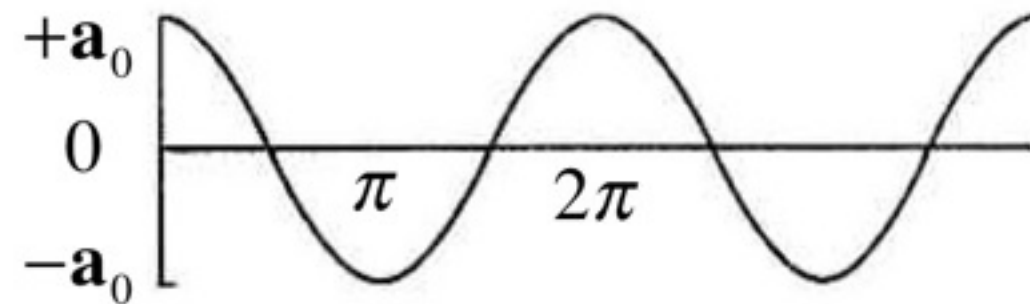
Phase reversal upon scattering of an electromagnetic wave by a point charge

incident
em wave



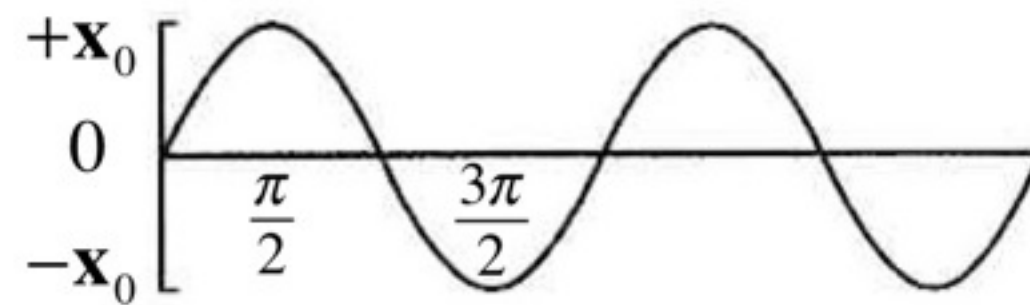
$$\left\{ \begin{array}{l} \varphi_{\mathbf{E}} \\ \mathbf{E} = \mathbf{E}_0 e^{i\omega t} \end{array} \right.$$

charge
acceleration



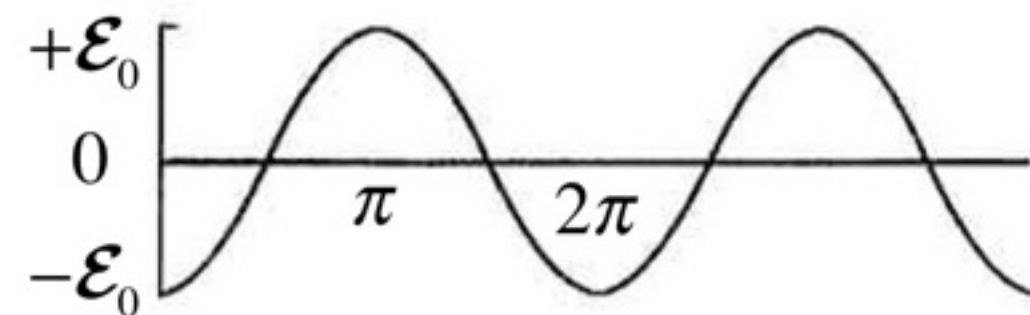
$$\left\{ \begin{array}{l} \varphi_{\mathbf{a}} = \varphi_{\mathbf{E}} \\ \mathbf{a} = \mathbf{a}_0 e^{i\omega t} \end{array} \right.$$

charge
displacement



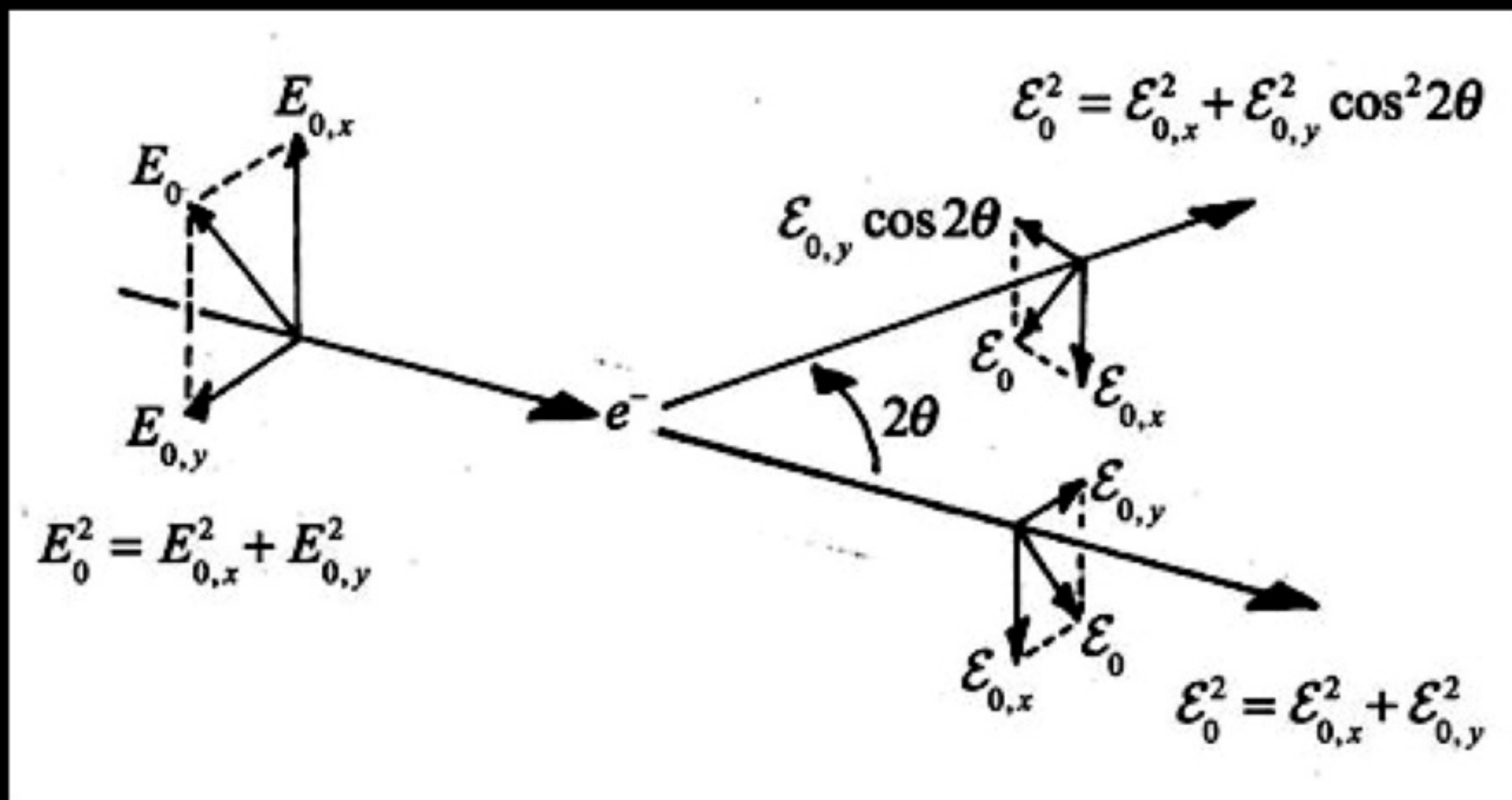
$$\left\{ \begin{array}{l} \varphi_{\mathbf{x}} = \left(\varphi_{\mathbf{a}} - \frac{\pi}{2} \right) = \left(\varphi_{\mathbf{E}} - \frac{\pi}{2} \right) \\ \mathbf{x} = \mathbf{x}_0 \exp \left[i \left(\omega t - \frac{\pi}{2} \right) \right] \end{array} \right.$$

scattered
em wave

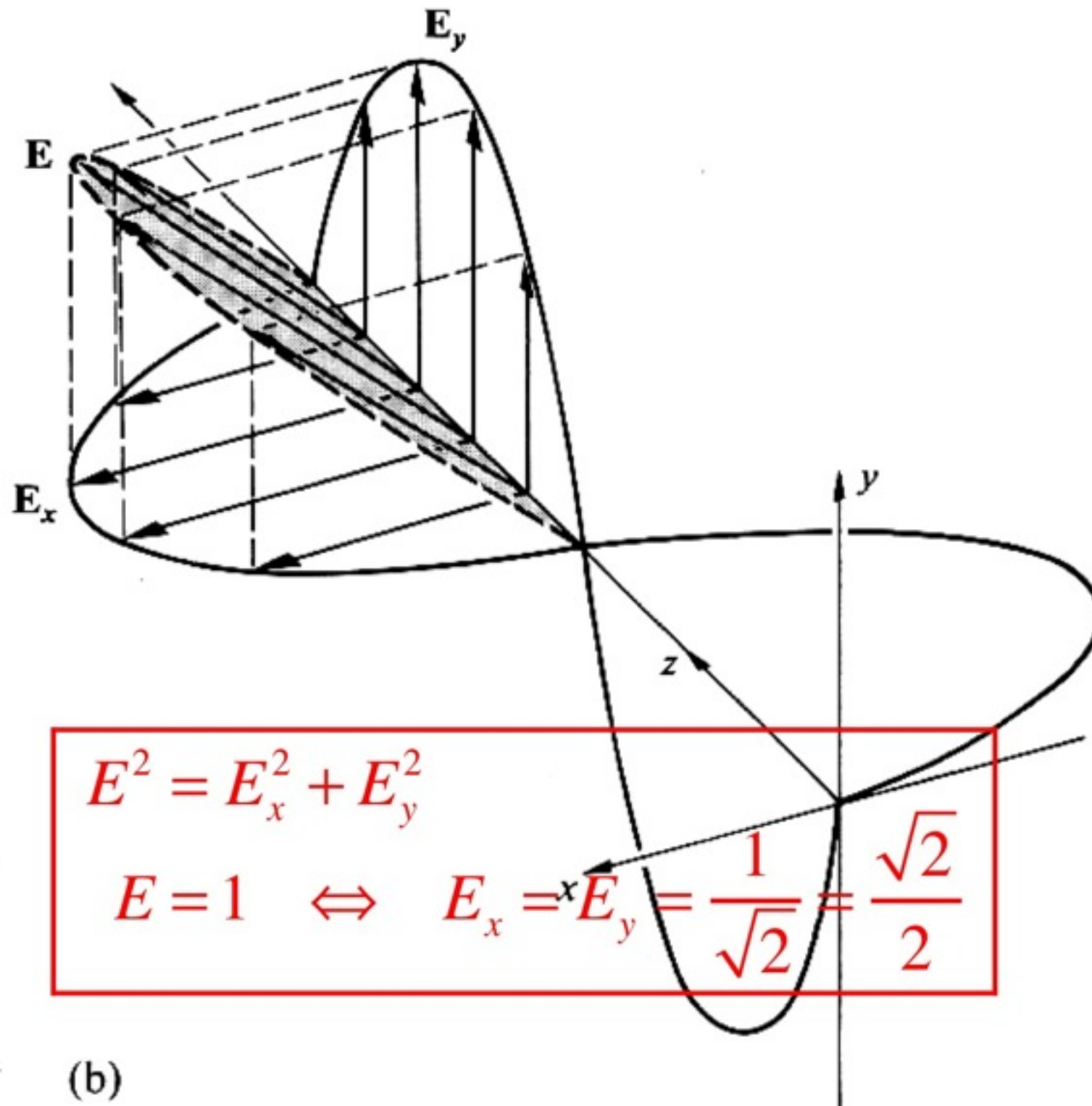


$$\left\{ \begin{array}{l} \varphi_{\mathcal{E}} = \left(\varphi_{\mathbf{x}} - \frac{\pi}{2} \right) = \left(\varphi_{\mathbf{E}} - \pi \right) \\ \mathcal{E} = \mathcal{E}_0 \exp \left[i(\omega t - \pi) \right] \end{array} \right.$$

Scattering of a linearly polarized beam with an arbitrary direction of polarization



Resolved perpendicular components of polarization



Scattering of polarized em radiation

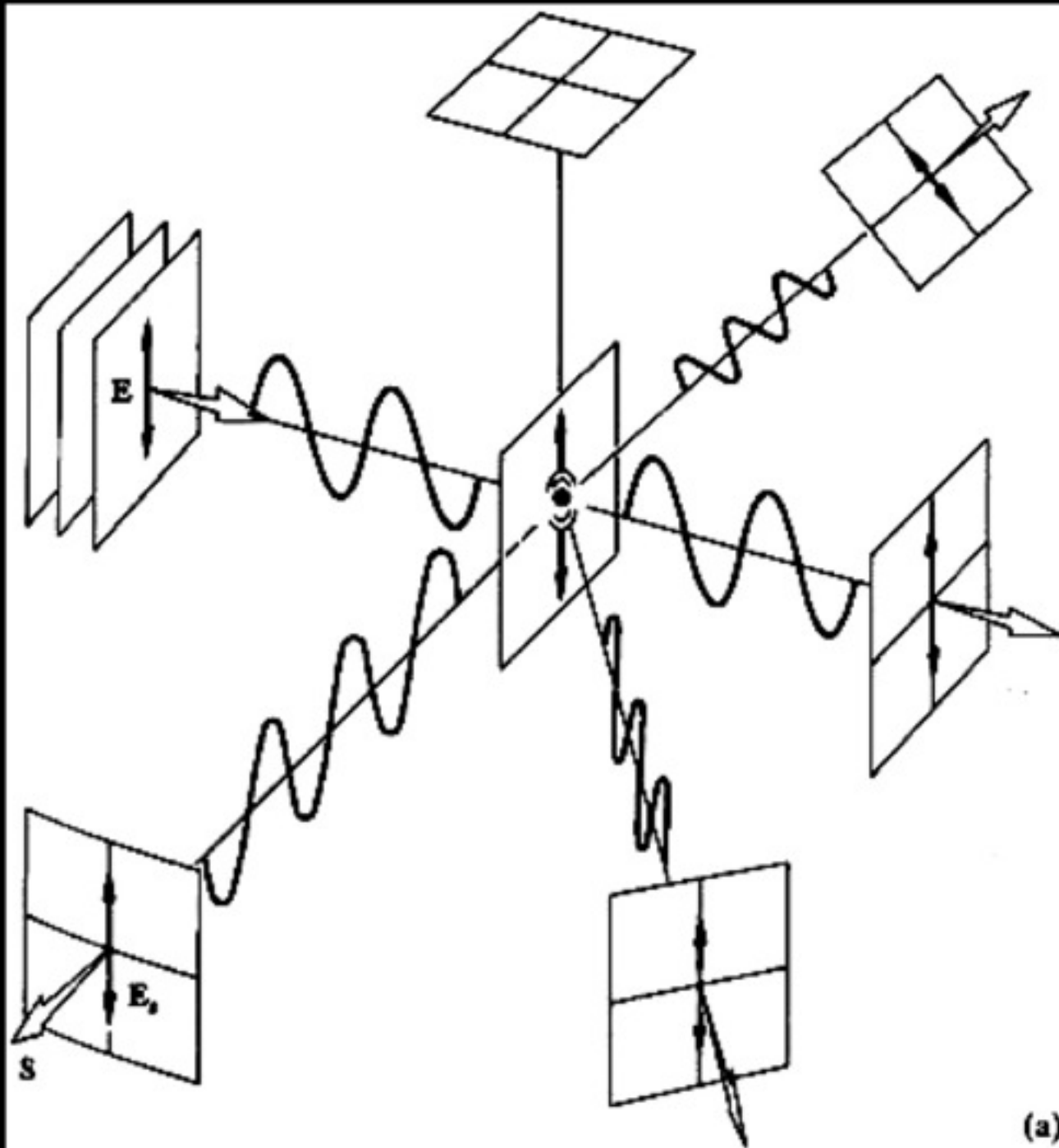


FIGURE 8.35a Scattering of polarized light by a molecule.

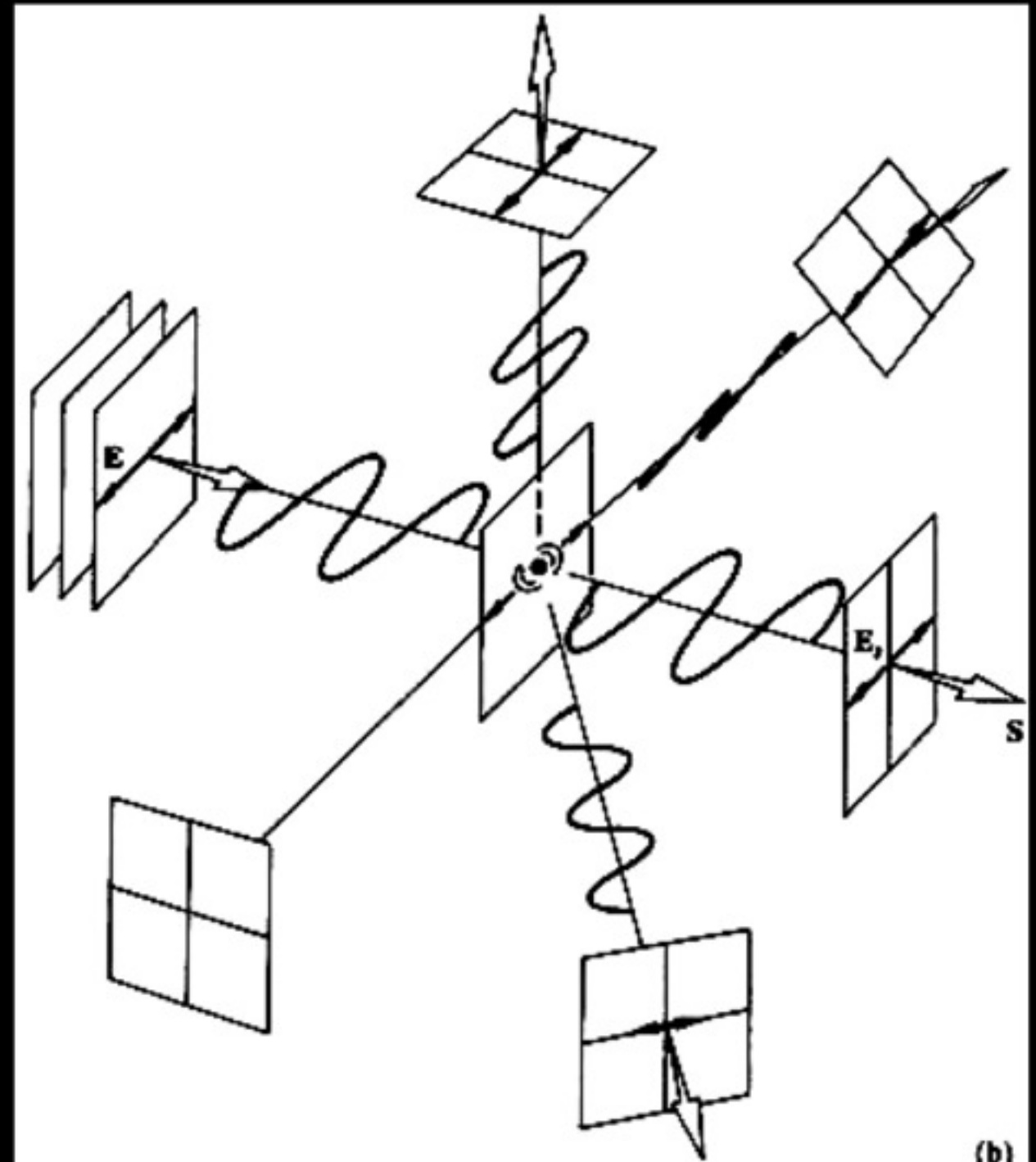
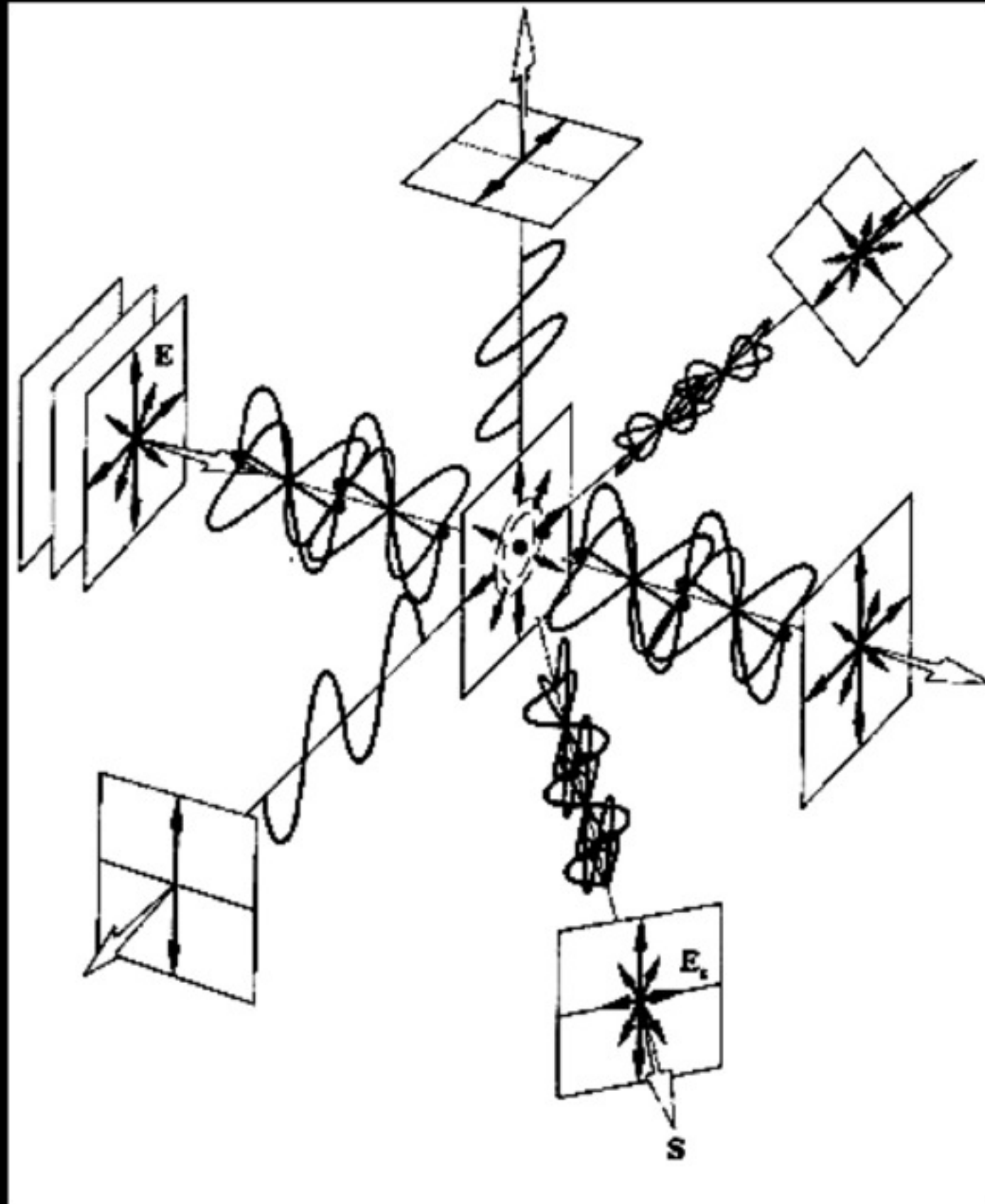


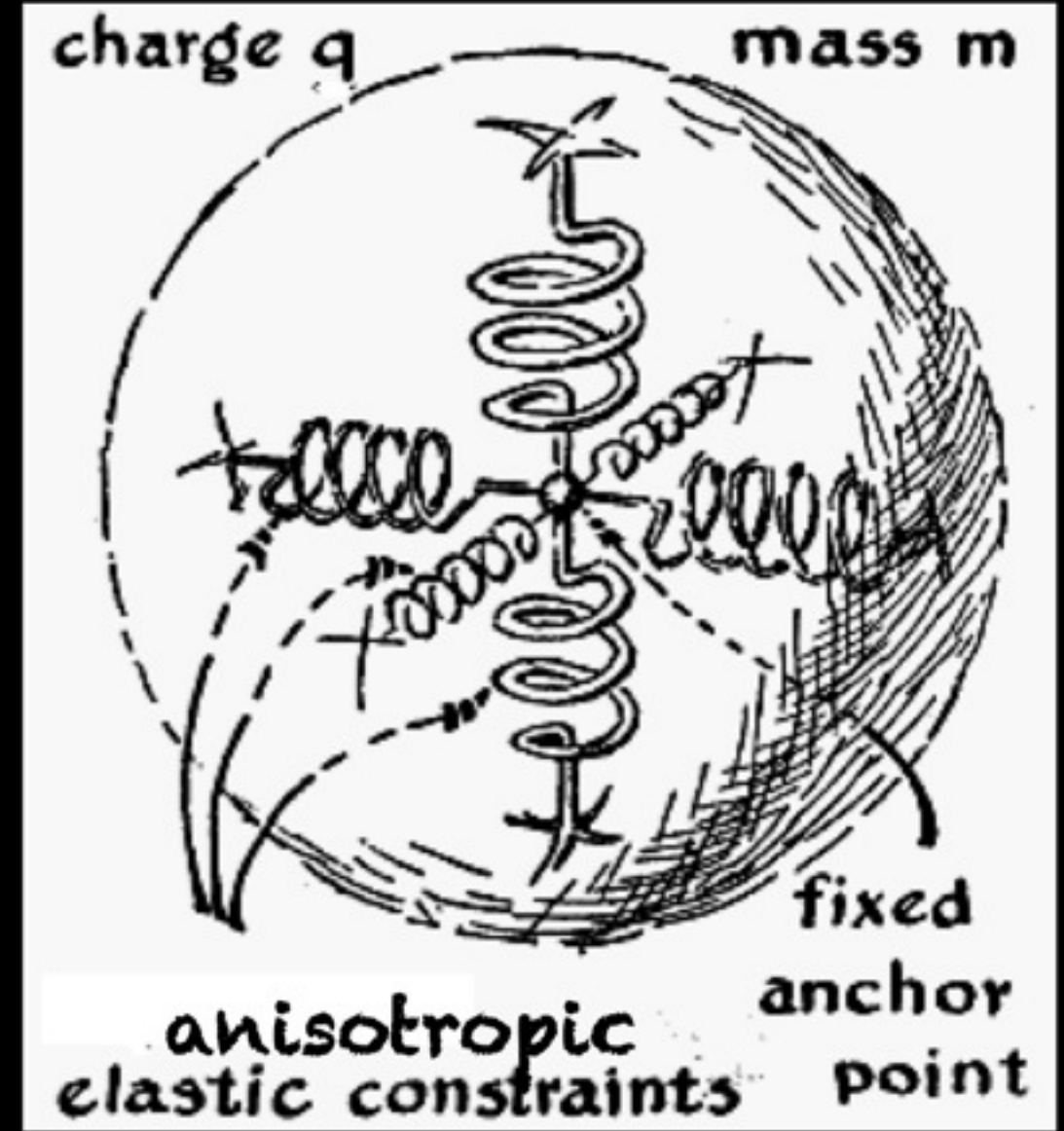
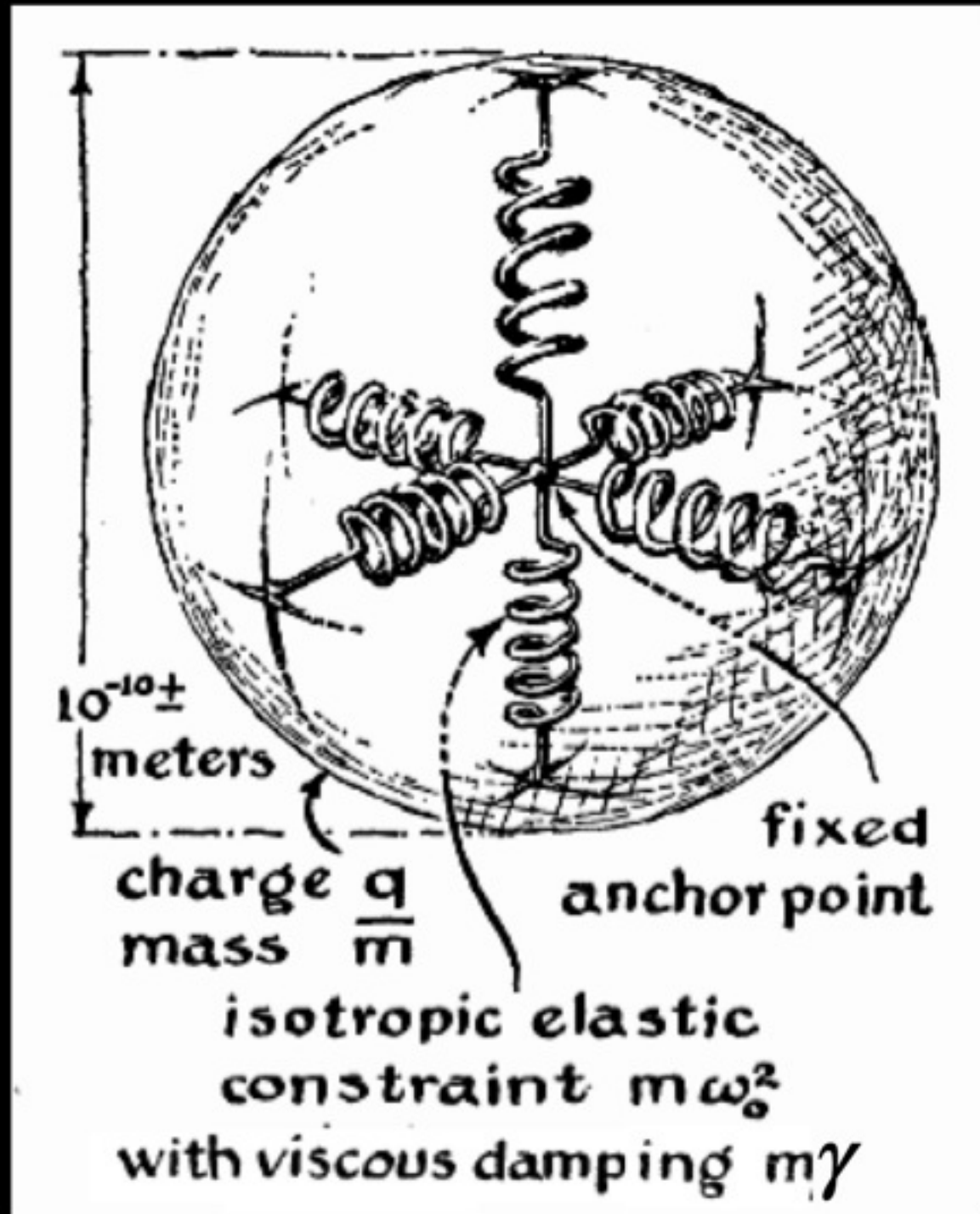
FIGURE 8.35b

Scattering of unpolarized em radiation



Mechanical models for electron oscillators

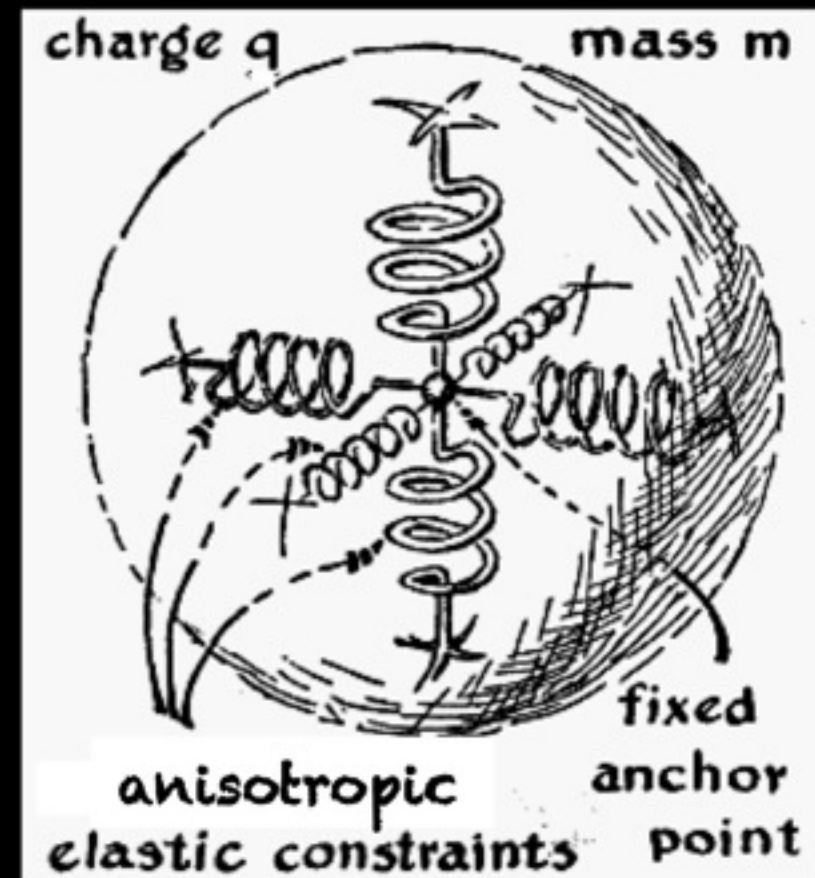
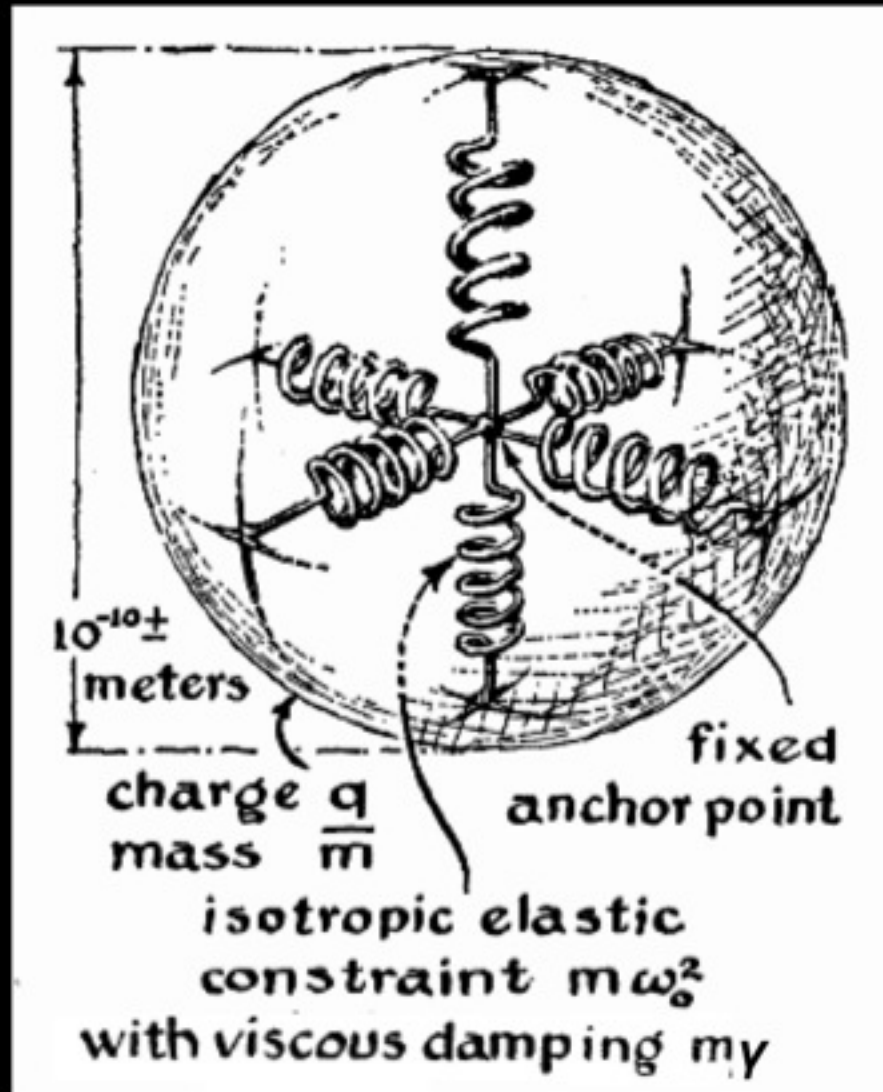
Spheres of uniform charge density with total charge q and mass m



Classical electron radius

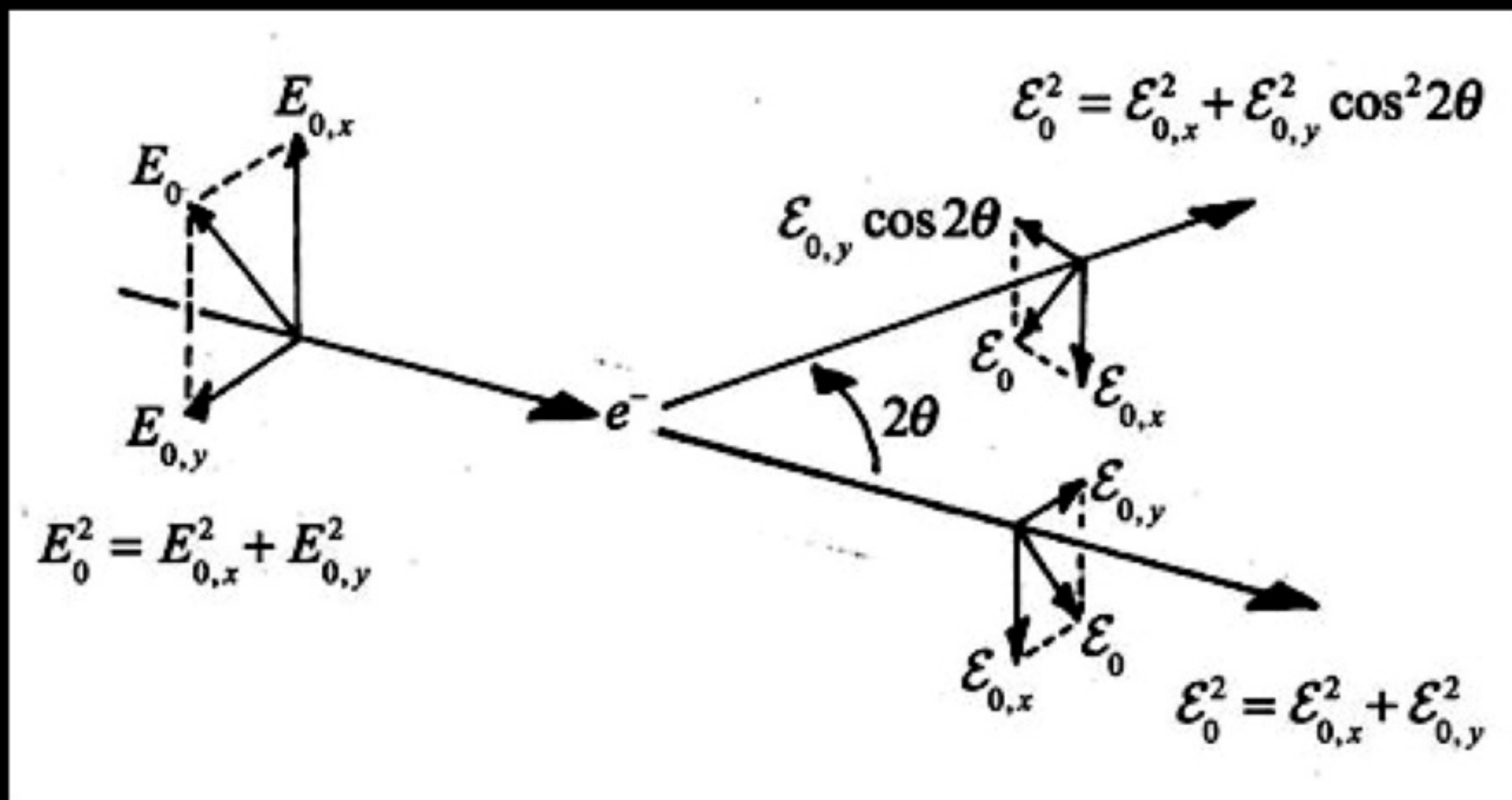
Electrostatic potential energy	} $r_e = \frac{e^2}{m_e c^2}$
$E = q\phi(r) = q(q/r) = e^2/r_e$	
Relativistic mass - energy	}
$E = m_e c^2$	

Mechanical models for 3-D oscillators



If a electron is driven to oscillate by an *unpolarized em wave*, the electron oscillations will be *three dimensional*.

Scattering of a linearly polarized beam with an arbitrary direction of polarization



Diffracted X-ray beam polarization

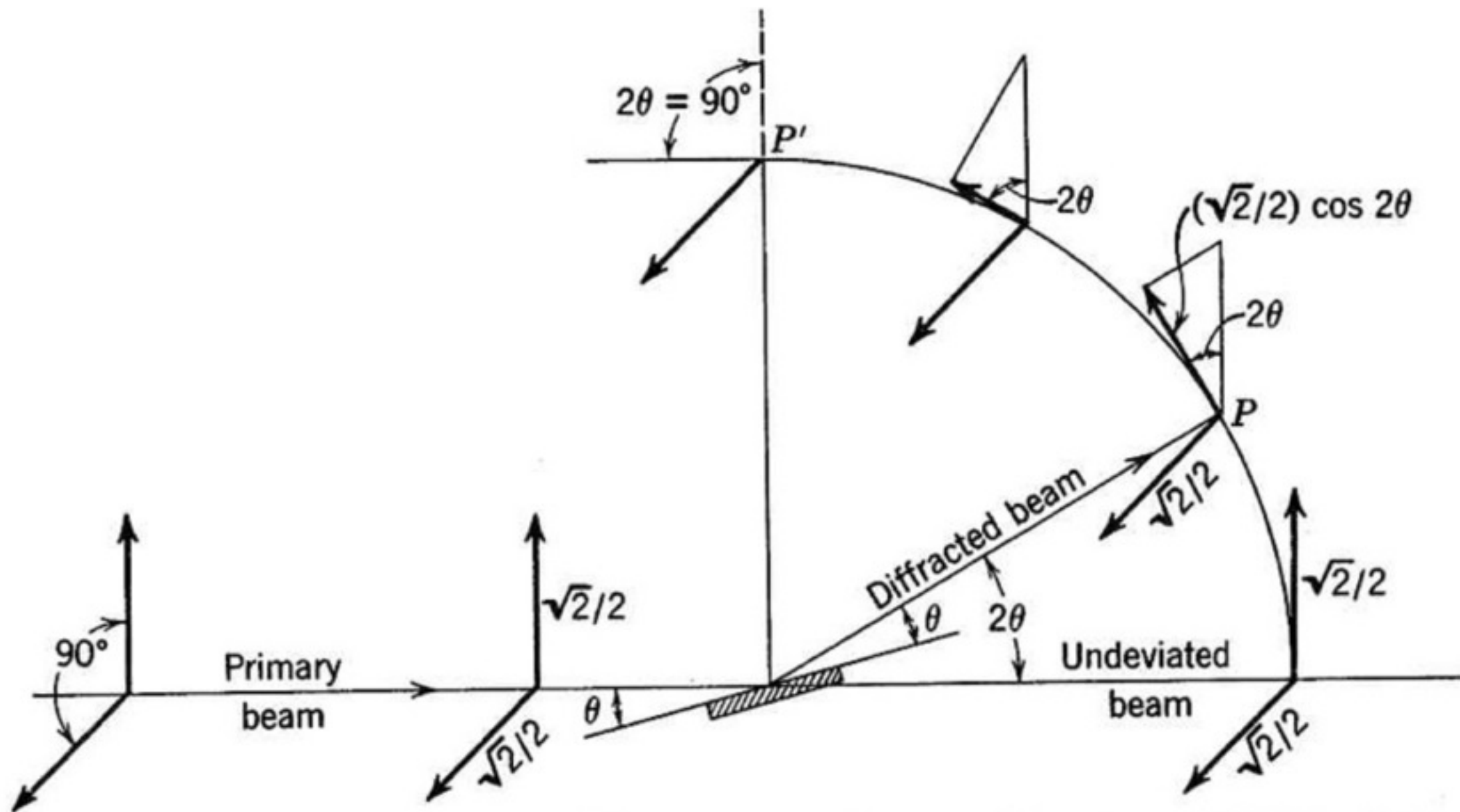


Fig. 3-11. Polarization of a diffracted x-ray beam. (Courtesy of Blake, *Revs. Mod. Phys.*, 5, 169.)

Any vector of unit length can be resolved into a pair of perpendicular components each of length $\sqrt{2}/2$. The component perpendicular to the equatorial plane of the incident and diffracted beams remains constant; the in-plane component varies as $\cos(\sqrt{2}/2)$.

X-ray scattering by a bound electron in a free atom

(Gaussian cgs units)

$$m \frac{d^2 \mathbf{x}}{dt^2} = -e \mathbf{E}_0 e^{i\omega t} - k \mathbf{x} \quad , \quad k = m\omega_0^2 \quad \text{elastic force constant}$$

Newton's
second law
force
 $\mathbf{F} = m\mathbf{a}$

em
Coulombic
driving
force
 $\mathbf{F} = q\mathbf{E}$

Hooke's law
elastic
restoring
force
 $\mathbf{F} = -k\mathbf{x}$

$$\left\{ \begin{array}{l} \mathbf{x} = \mathbf{x}_0 e^{i\omega t} \quad , \quad \mathbf{v} = \frac{d\mathbf{x}}{dt} = i\omega \mathbf{x}_0 e^{i\omega t} \quad , \quad \mathbf{a} = \frac{d\mathbf{v}}{dt} = \frac{d^2 \mathbf{x}}{dt^2} = -\omega^2 \mathbf{x}_0 e^{i\omega t} \\ -m\omega^2 \mathbf{x}_0 e^{i\omega t} = -e \mathbf{E}_0 e^{i\omega t} - k \mathbf{x}_0 e^{i\omega t} \\ \\ m\omega^2 \mathbf{x} = e \mathbf{E} + k \mathbf{x} \end{array} \right.$$

$$\mathbf{x} = \frac{e \mathbf{E}}{m\omega^2 - k} = \frac{e \mathbf{E}}{m \left(\omega^2 - \frac{k}{m} \right)} = \frac{e \mathbf{E}_0}{m} \left(\frac{1}{\omega^2 - \omega_0^2} \right) e^{i\omega t} \quad , \quad \omega_0 = \sqrt{k/m}$$

$$\mathbf{a} = -\omega^2 \mathbf{x} = -\frac{e \mathbf{E}}{m} \left(\frac{\omega^2}{\omega^2 - \omega_0^2} \right)$$

$$\boldsymbol{\varepsilon} = -\frac{q \mathbf{a}}{c^2 r} = -\left(\frac{-e}{c^2 r} \right) \frac{e \mathbf{E}}{m} \left(\frac{\omega^2}{\omega^2 - \omega_0^2} \right) e^{i\omega t} = \underbrace{\left(\frac{e^2}{mc^2} \right)}_{r_e} \underbrace{\frac{\mathbf{E}_0}{r}}_{\boldsymbol{\varepsilon}_0} \left(\frac{\omega^2}{\omega^2 - \omega_0^2} \right) e^{i\omega t} = \boldsymbol{\varepsilon}_0 e^{i\omega t}$$

Resonant X-ray scattering by a bound atomic electron

(Gaussian cgs units)

damped
driven
harmonic
oscillator

$$m \frac{d^2 \mathbf{x}}{dt^2} = \underbrace{-\kappa \frac{d\mathbf{x}}{dt}}_{\substack{\text{radiation} \\ \text{loss} \\ \text{damping} \\ \text{force} \\ \mathbf{F} = -\kappa \mathbf{v}}} \underbrace{-k \mathbf{x}}_{\substack{\text{Hooke's law} \\ \text{restoring} \\ \text{force} \\ \mathbf{F} = -k \mathbf{x}}} \underbrace{-e \mathbf{E}_0 e^{i\omega t}}_{\substack{\text{Coulombic} \\ \text{em} \\ \text{driving} \\ \text{force} \\ \mathbf{F} = q \mathbf{E}}}, \quad \begin{cases} k = m\omega_0^2 & \text{nucleus-electron} \\ & \text{elastic force constant} \\ \kappa = m\gamma & \text{radiation loss} \\ & \text{damping force constant} \end{cases}$$

Newton's second law force $\mathbf{F} = m\mathbf{a}$

$$\mathbf{x} = \mathbf{x}_0 e^{i\omega t}, \quad \mathbf{x}_0 = \frac{e \mathbf{E}_0}{m} \left(\frac{1}{\omega^2 - \omega_0^2 - i\gamma\omega} \right)$$

$$\mathbf{a} = \frac{d^2 \mathbf{x}}{dt^2} = -\omega^2 \mathbf{x}_0 e^{i\omega t} = \mathbf{a}_0 e^{i\omega t}, \quad \mathbf{a}_0 = -\omega^2 \mathbf{x}_0 = -\frac{e \mathbf{E}_0}{m} \left(\frac{\omega^2}{\omega^2 - \omega_0^2 - i\gamma\omega} \right)$$

$$\mathcal{E}_0 = -\frac{q \mathbf{a}_0}{c^2 r} = -\underbrace{\left(\frac{e^2}{m_e c^2} \right) \frac{\mathbf{E}_0}{r}}_{\substack{\text{free } e^- \text{ scattered} \\ \text{X-ray wave} \\ \text{amplitude at } r}} \underbrace{\left(\frac{\omega^2}{\omega^2 - \omega_0^2 - i\gamma\omega} \right)}_{\substack{\text{bound } e^- \text{ scattered} \\ \text{X-ray wave} \\ \text{amplitude at } r}}$$

$$f_e = \frac{\mathcal{E}_0(\text{bound})}{\mathcal{E}_0(\text{free})} = \frac{\omega^2}{\omega^2 - \omega_0^2 - i\gamma\omega} = \frac{1}{1 - \left(\frac{\omega_0}{\omega} \right)^2 - \frac{i\gamma}{\omega}}$$

X-ray scattering by a bound atomic electron is approximately the same as scattering by a free electron at rest (Gaussian cgs units)

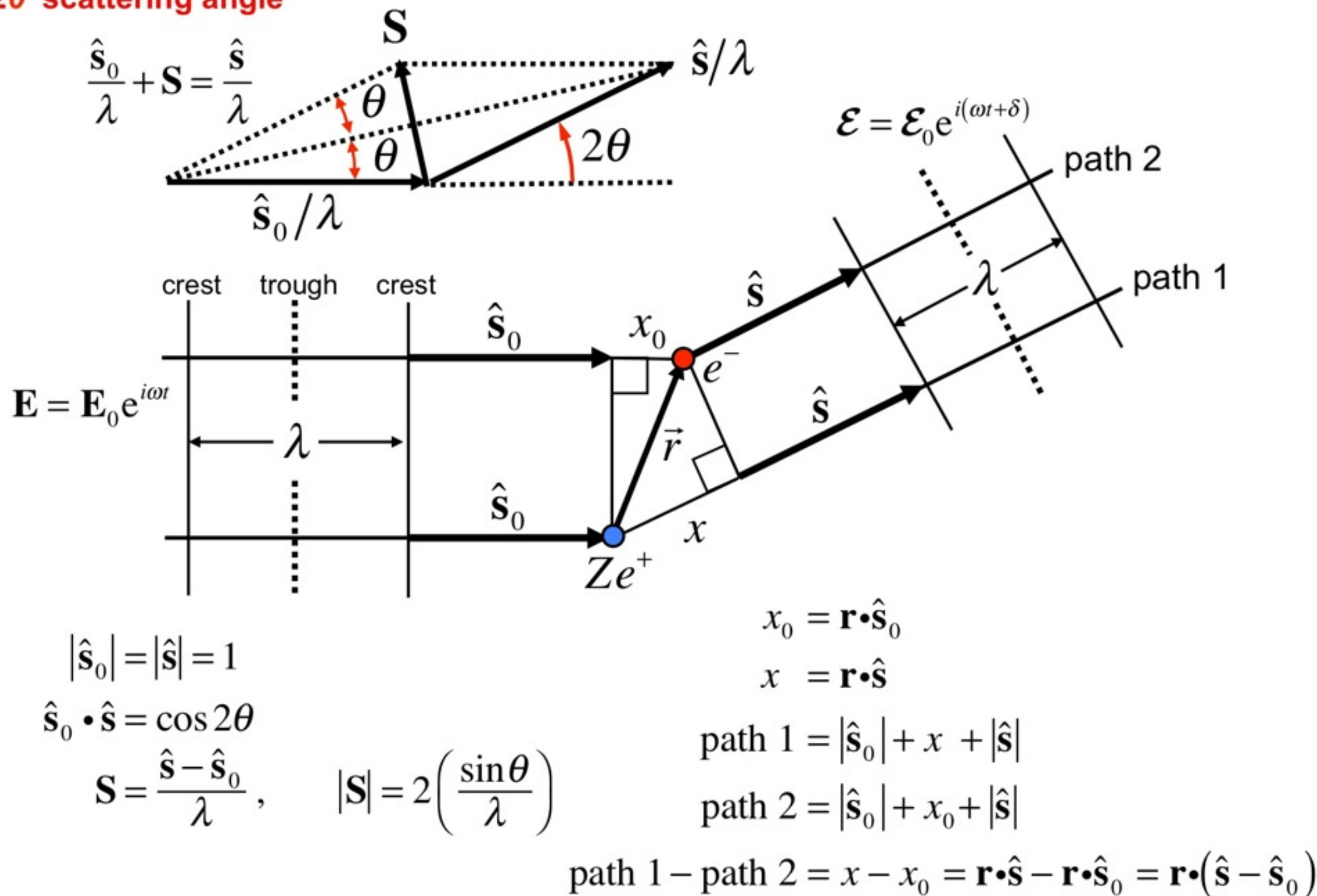
$$\mathcal{E}_0 = -\frac{q \mathbf{a}_0}{c^2 r} = -\underbrace{\left(\frac{e^2}{m_e c^2} \right) \frac{\mathbf{E}_0}{r}}_{\substack{\text{free } e^- \text{ scattered} \\ \text{X-ray wave} \\ \text{amplitude at } r}} \underbrace{\left(\frac{\omega^2}{\omega^2 - \omega_0^2 - i\gamma\omega} \right)}_{\substack{\text{bound } e^- \text{ scattered} \\ \text{X-ray wave} \\ \text{amplitude at } r}}$$

$$f_e = \frac{\mathcal{E}_0(\text{bound})}{\mathcal{E}_0(\text{free})} = \frac{\omega^2}{\omega^2 - \omega_0^2 - i\gamma\omega} = \frac{1}{1 - \left(\frac{\omega_0}{\omega} \right)^2 - \frac{i\gamma}{\omega}}$$

$$\left. \begin{array}{l} \gamma \ll \omega \Rightarrow f_e \approx \frac{1}{1 - \left(\frac{\omega_0}{\omega} \right)^2} \quad \text{undamped oscillation} \\ \omega_0 \ll \omega \Rightarrow f_e \approx 1 \quad \text{high frequency limit} \end{array} \right\} \text{free electron at rest } f_e = 1$$

X-ray scattering by an atomic electron

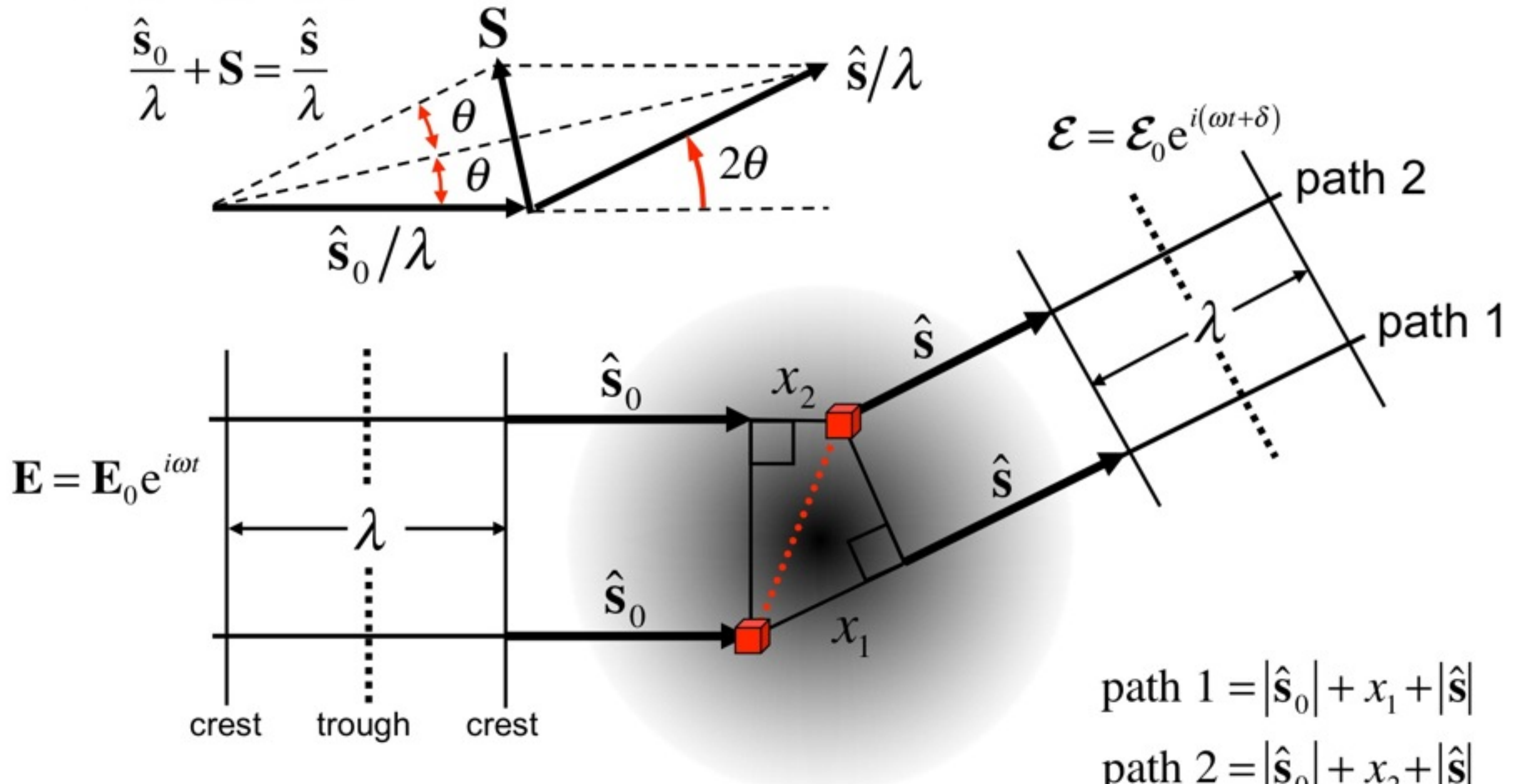
θ Bragg angle
 2θ scattering angle



X-ray scattering by different volume elements of an atomic electron density distribution

θ Bragg angle

2θ scattering angle



$$\mathcal{E} = \mathcal{E}_0 e^{i(\omega t + \delta)}$$

$$\mathbf{E} = \mathbf{E}_0 e^{i\omega t}$$

$$\text{path 1} = |\hat{\mathbf{s}}_0| + x_1 + |\hat{\mathbf{s}}|$$

$$\text{path 2} = |\hat{\mathbf{s}}_0| + x_2 + |\hat{\mathbf{s}}|$$

$$\text{path 2} - \text{path 1} = x_2 - x_1 = \Delta x$$

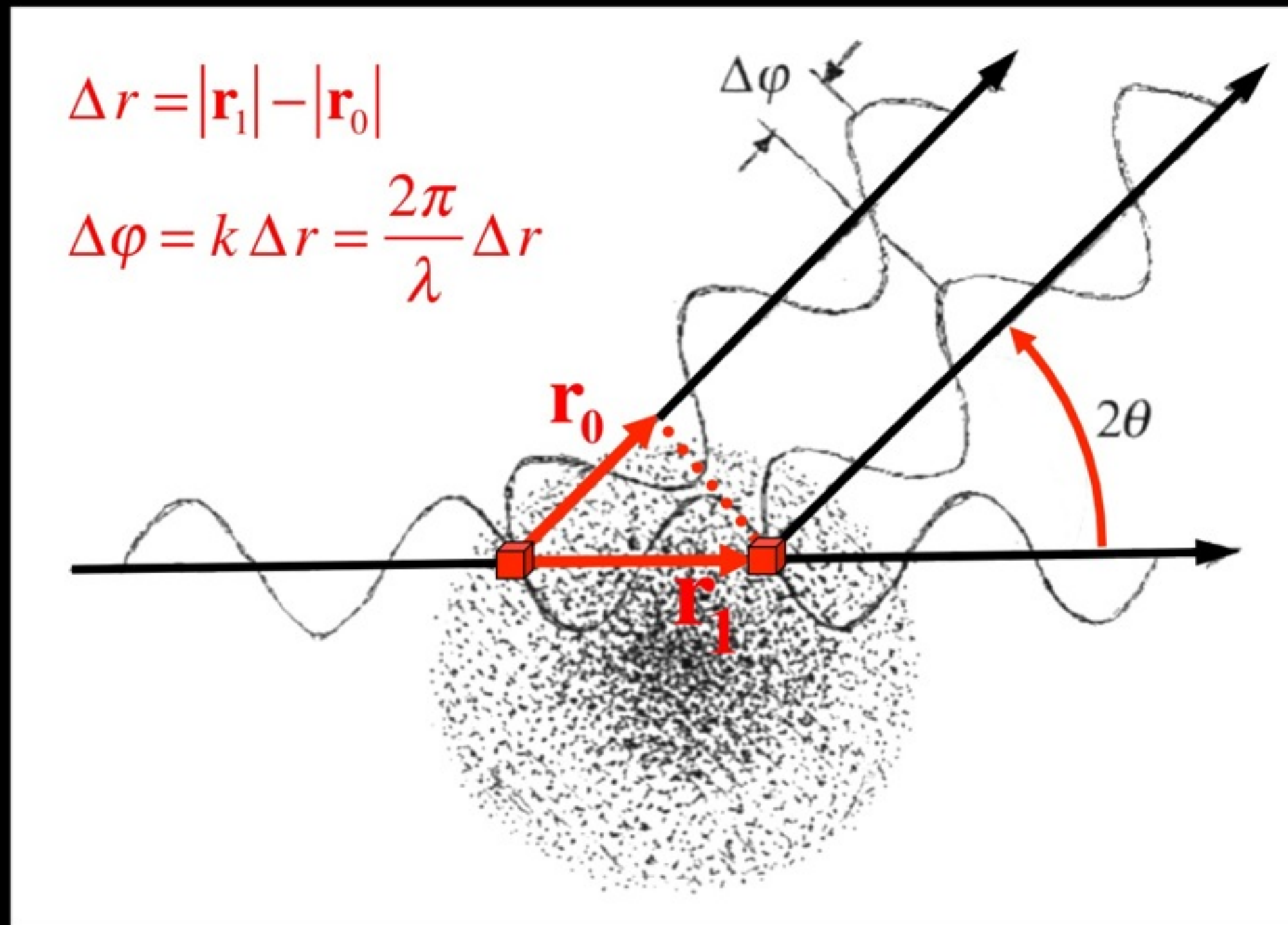
$$|\hat{\mathbf{s}}_0| = |\hat{\mathbf{s}}| = 1$$

$$\hat{\mathbf{s}}_0 \cdot \hat{\mathbf{s}} = \cos 2\theta$$

$$\mathbf{S} = \frac{\hat{\mathbf{s}} - \hat{\mathbf{s}}_0}{\lambda}, \quad |\mathbf{S}| = 2 \left(\frac{\sin \theta}{\lambda} \right)$$

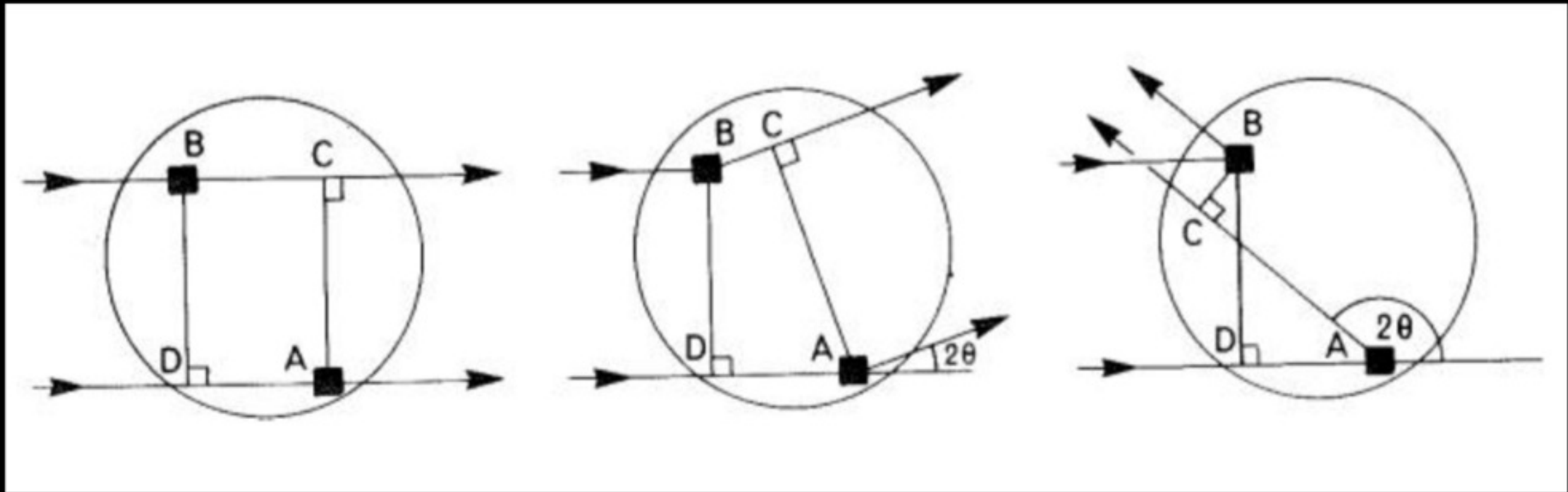
$$\Delta\varphi = k\Delta x = \frac{2\pi}{\lambda} \Delta x$$

Phase differences due to scattering from different volume elements of an atomic electron density distribution



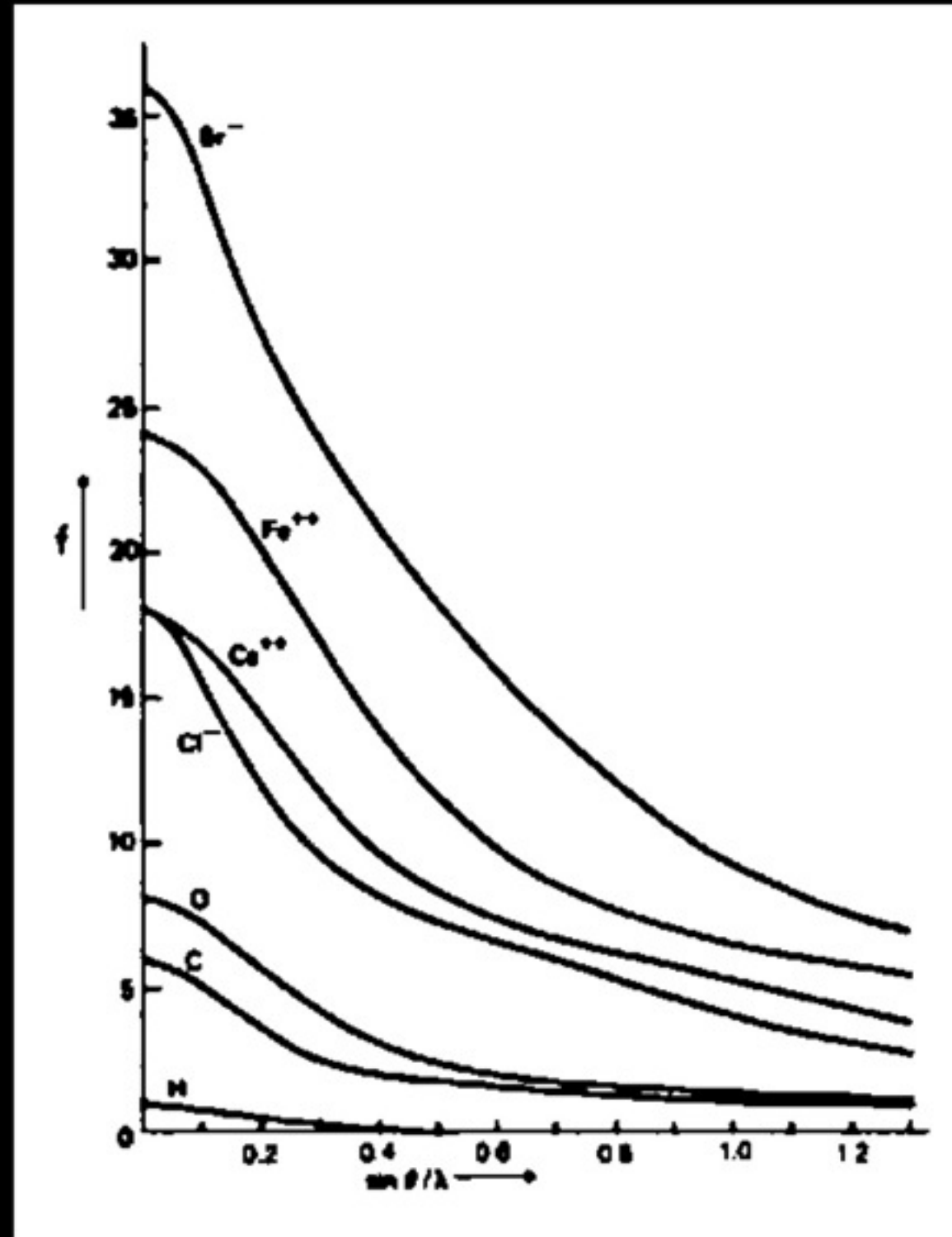
Since X-ray wavelengths are comparable to atomic diameters, interference effects due to the differences in path lengths to and from each volume element of the atomic electron density distribution are responsible for the approximately Gaussian falloff of atomic scattering factors with increasing scattering angle.

Scattering factor *versus* scattering angle



The higher the scattering angle,
the greater the difference between wave path lengths
the greater the destructive wave interference,
the greater the scattering factor fall-off with scattering angle

Scattering factor *versus* scattering angle



The atomic scattering factor $f_a(S)$ is roughly proportional to the atomic number Z_a .

At $S = (\sin \theta) / \lambda = 0$, $f_a(0) = Z_a$

Atomic Scattering Factors for X-rays

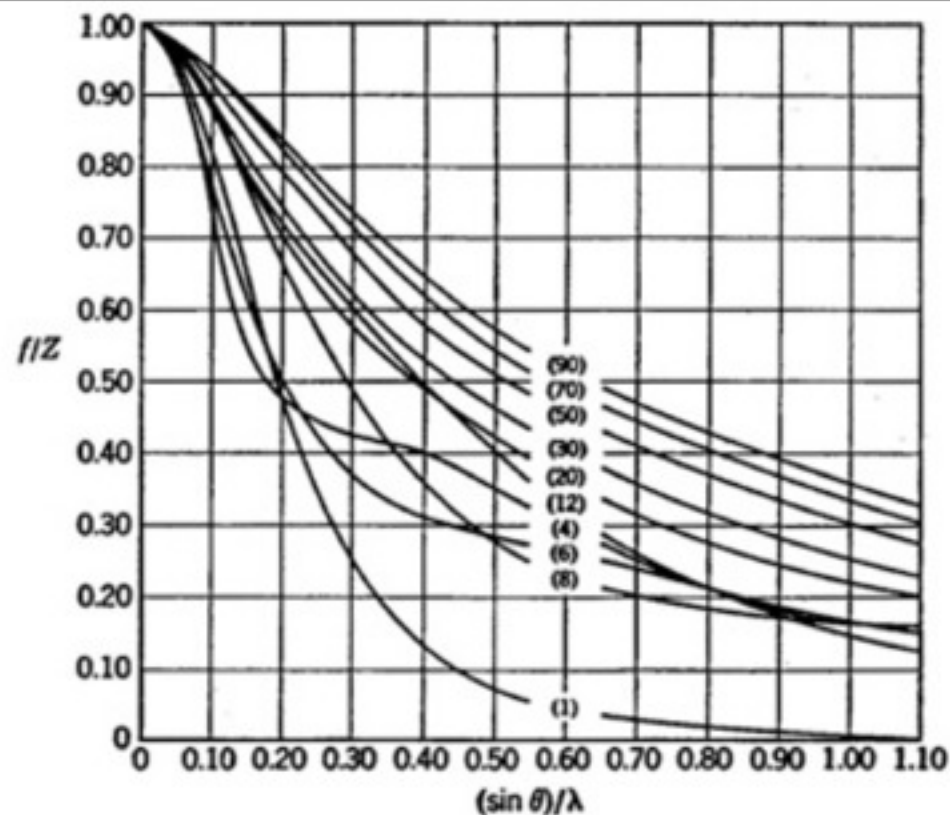


Fig. 20.

Variation of shapes of the curves of f/Z against $(\sin \theta)/\lambda$ for the chemical elements whose atomic numbers, Z , are given in parentheses. (After Harker and Kasper.²⁰)

Buerger (1960).

The “humps” or ripples in the f -curves for $Z = 6$ and $Z = 4$ occur because the ${}_4\text{Be } 2s^2$ L-valence shell is filled and the ${}_6\text{C } 2s^2 2p^2$ L-valence shell is half-filled. When a valence shell is filled or half-filled there is a slight real-space expansion of the outer, valence-shell electron density $\rho_v(r)$ and therefore a reciprocal-space contraction of the low-angle, valence-shell scattering factor curve $f_v(S) = \mathcal{F}^{-1}[\rho_v(r)]$.

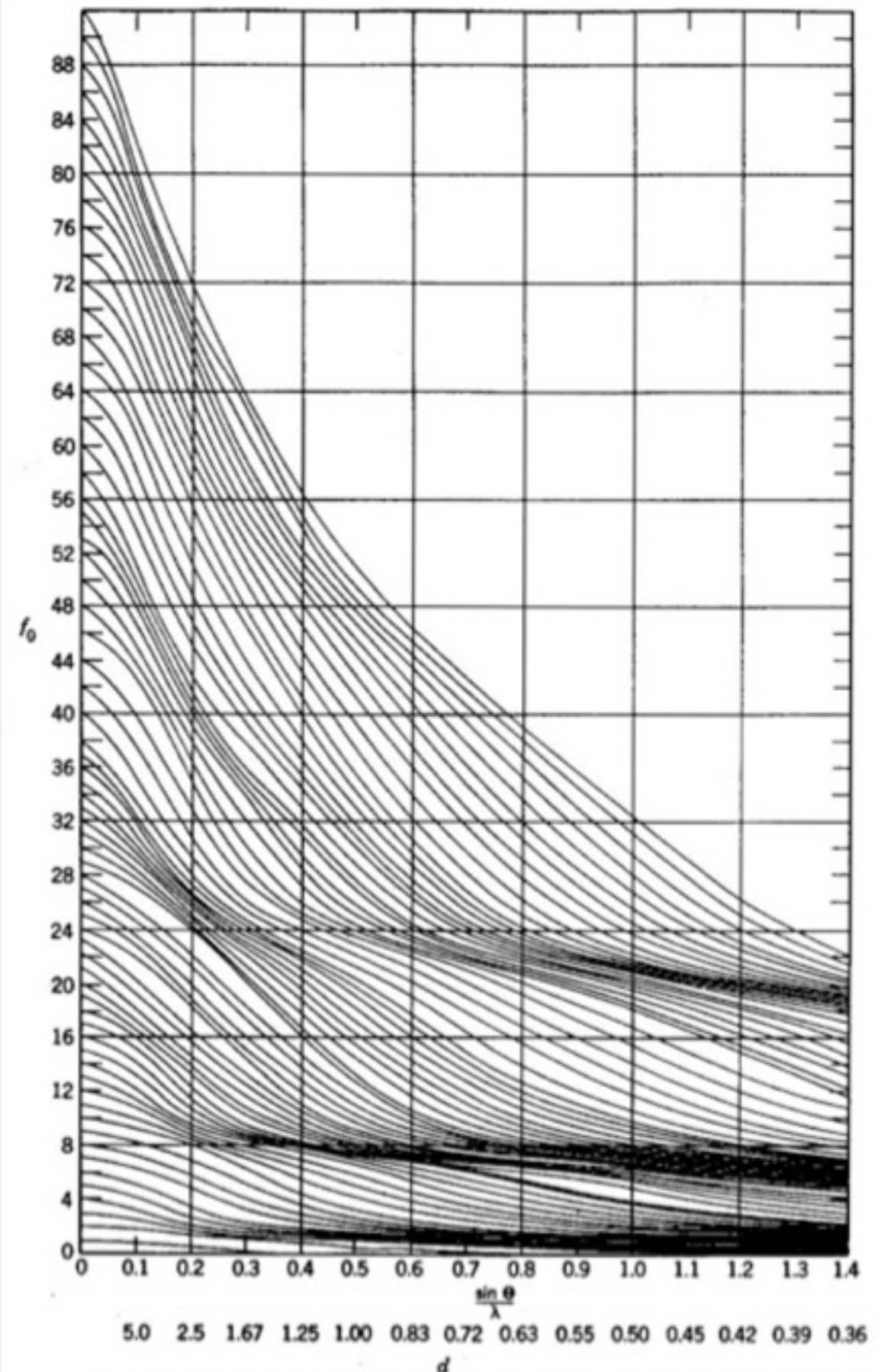
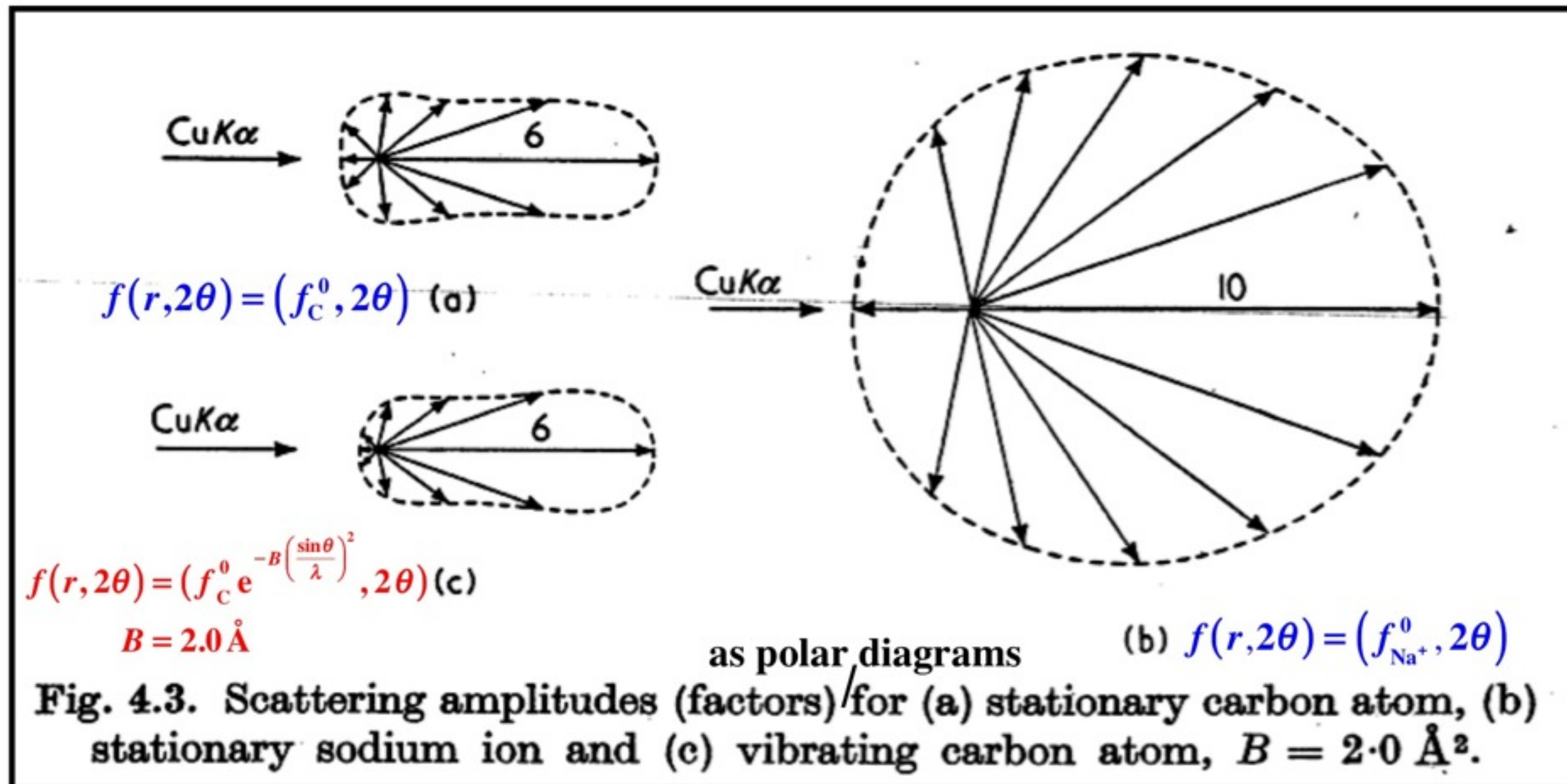


Fig. 3-13. Values of f_0 for neutral atoms. (Courtesy of Pauling and Sherman, *Z. Krist.*, 81, 1.)

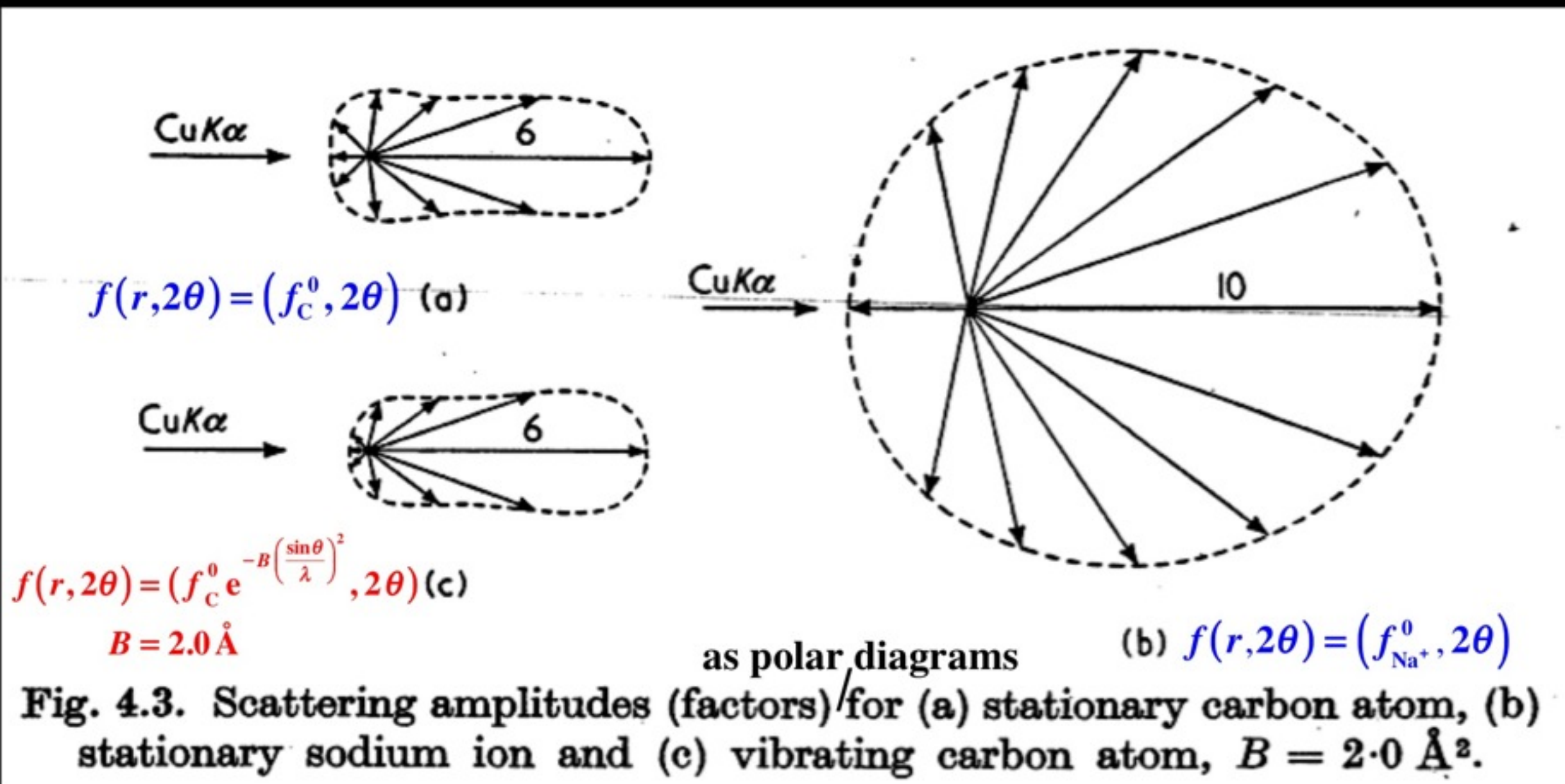
Klug & Alexander (1974).

Polar Plots of Atomic X-ray Scattering Factors versus scattering angle



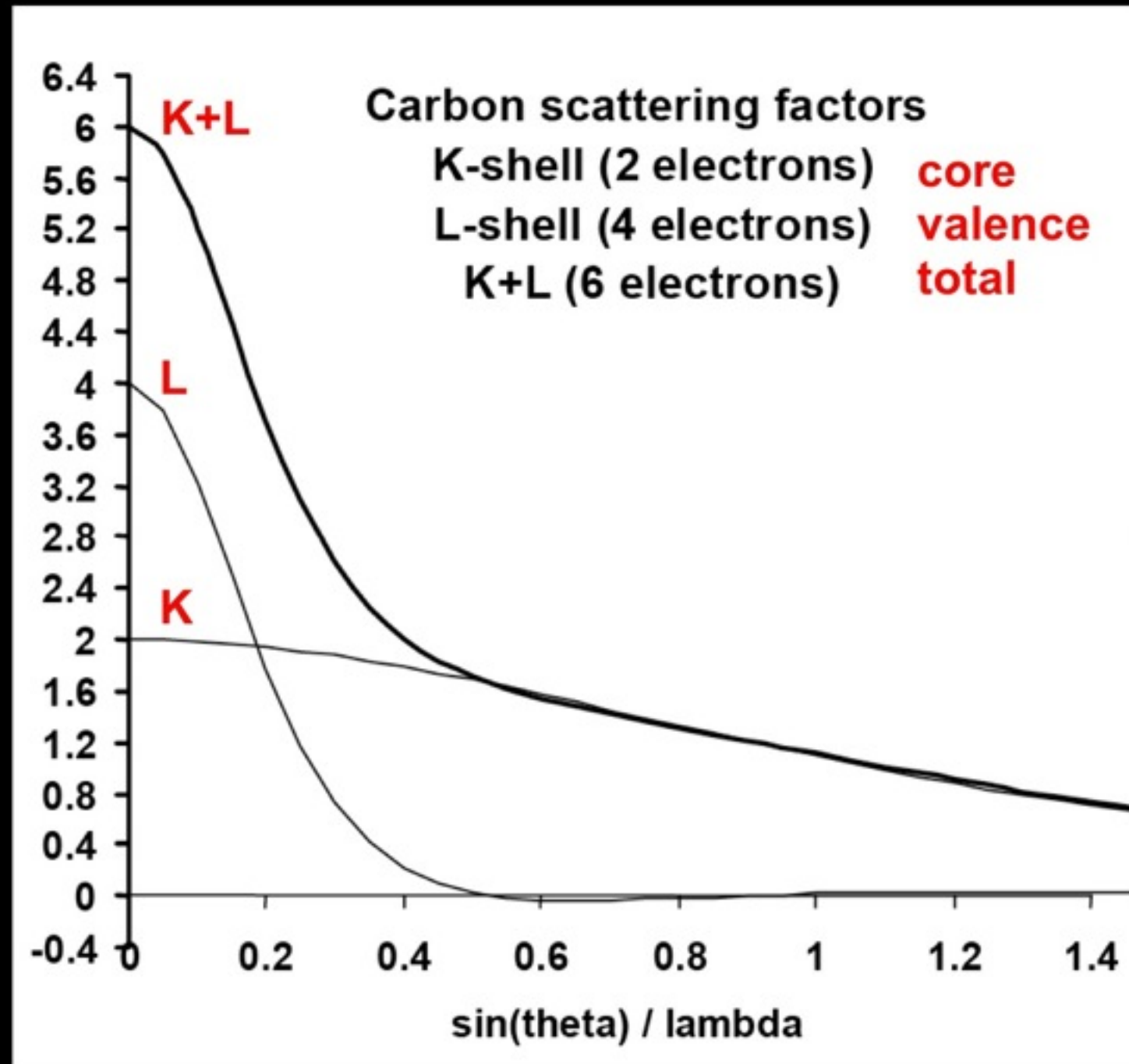
Due to interference effects among waves scattered from different volume elements of the atomic electron density distribution, the amplitude of scattering decreases with increasing scattering angle.

Polar Plots of Atomic X-ray Scattering Factors versus scattering angle



Due to interference effects among waves scattered from different volume elements of the atomic electron density distribution, the amplitude of scattering decreases with increasing scattering angle.

Carbon atom scattering from different electron shells



For carbon, the four-electron valence shell (**L-shell**) scattering is negligible for $(\sin \theta)/\lambda > 0.5 \text{ \AA}^{-1}$, $d_{\min} < 1 \text{ \AA}$.

The two-electron inner shell (**K-shell**) scattering extends well beyond $(\sin \theta)/\lambda = 1.4 \text{ \AA}^{-1}$, $d_{\min} < 0.36 \text{ \AA}$.

Radial electron density and scattering factor curves for $K^+ [Ar] 1s^2 2s^2 2p^6 3s^2 3p^0$ subshells

$$U(r) = 4\pi r^2 |R(r)|^2 \text{ subshell curves}$$

$$f(S) = \int_0^\infty U(r) \frac{\sin(2\pi Sr)}{2\pi Sr} dr \text{ subshell curves}$$

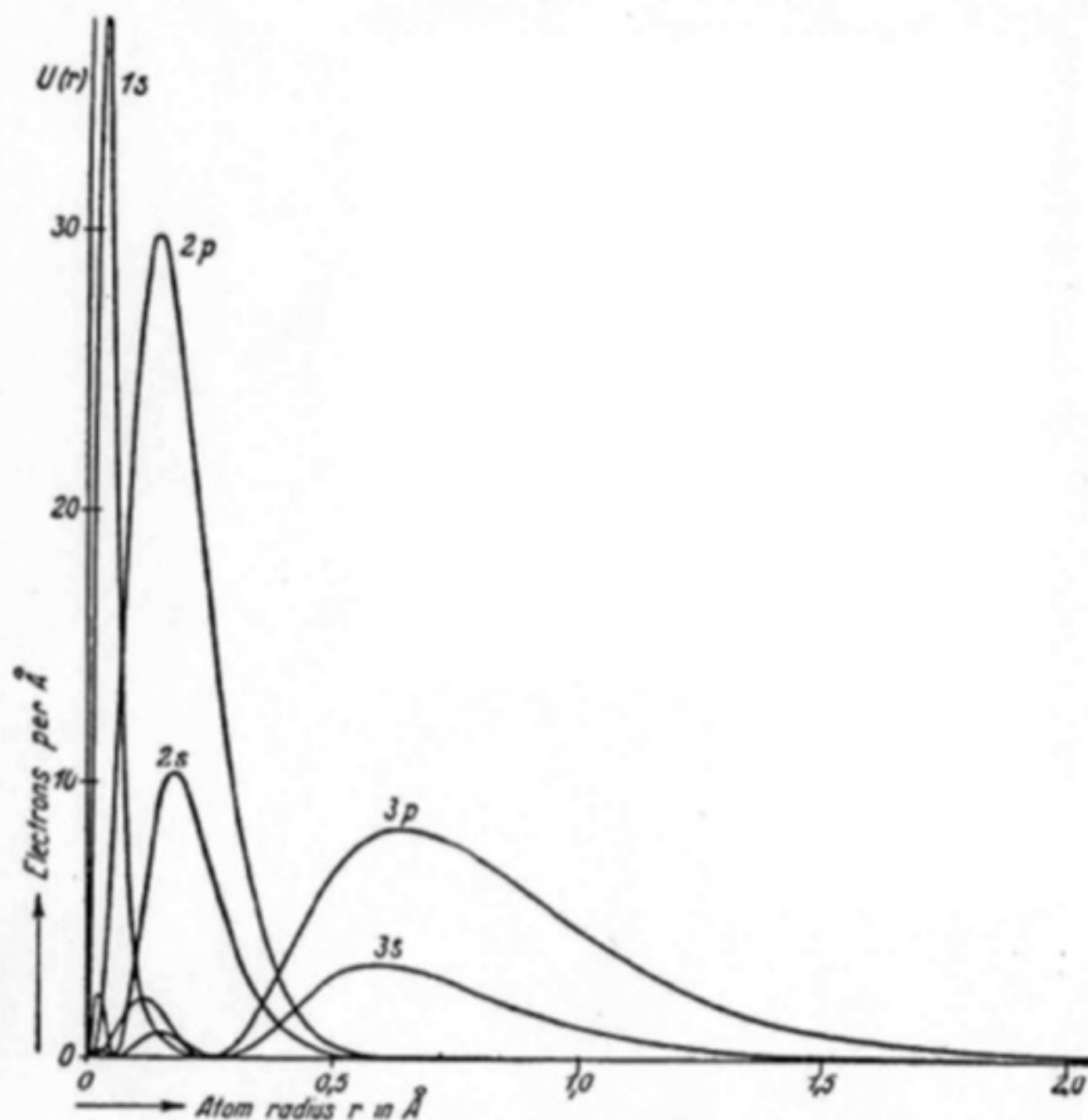


FIG. 43. Radial charge distribution for the different electron groups of K^+
(James, *Ergebnisse der technischen Röntgenkunde*, vol. III, 1933)

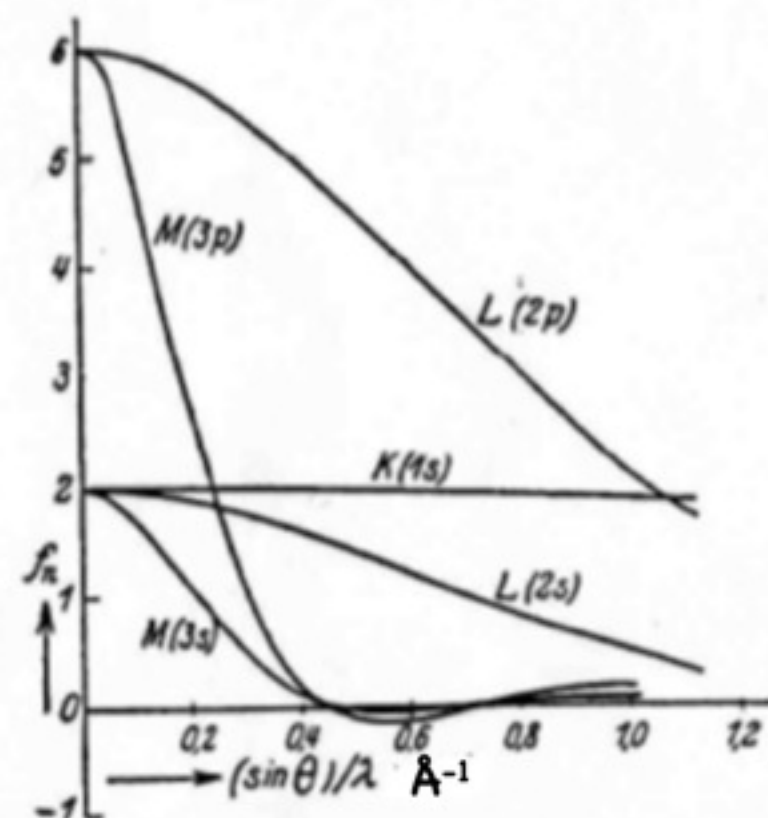
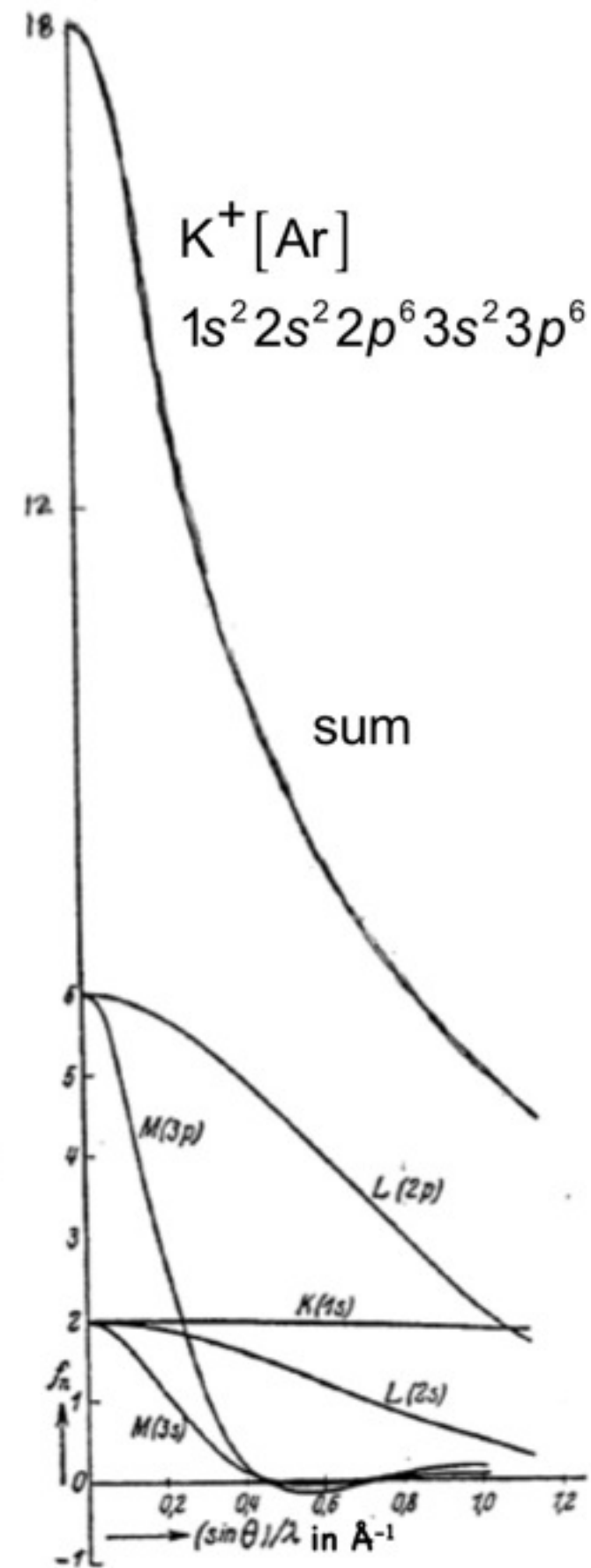
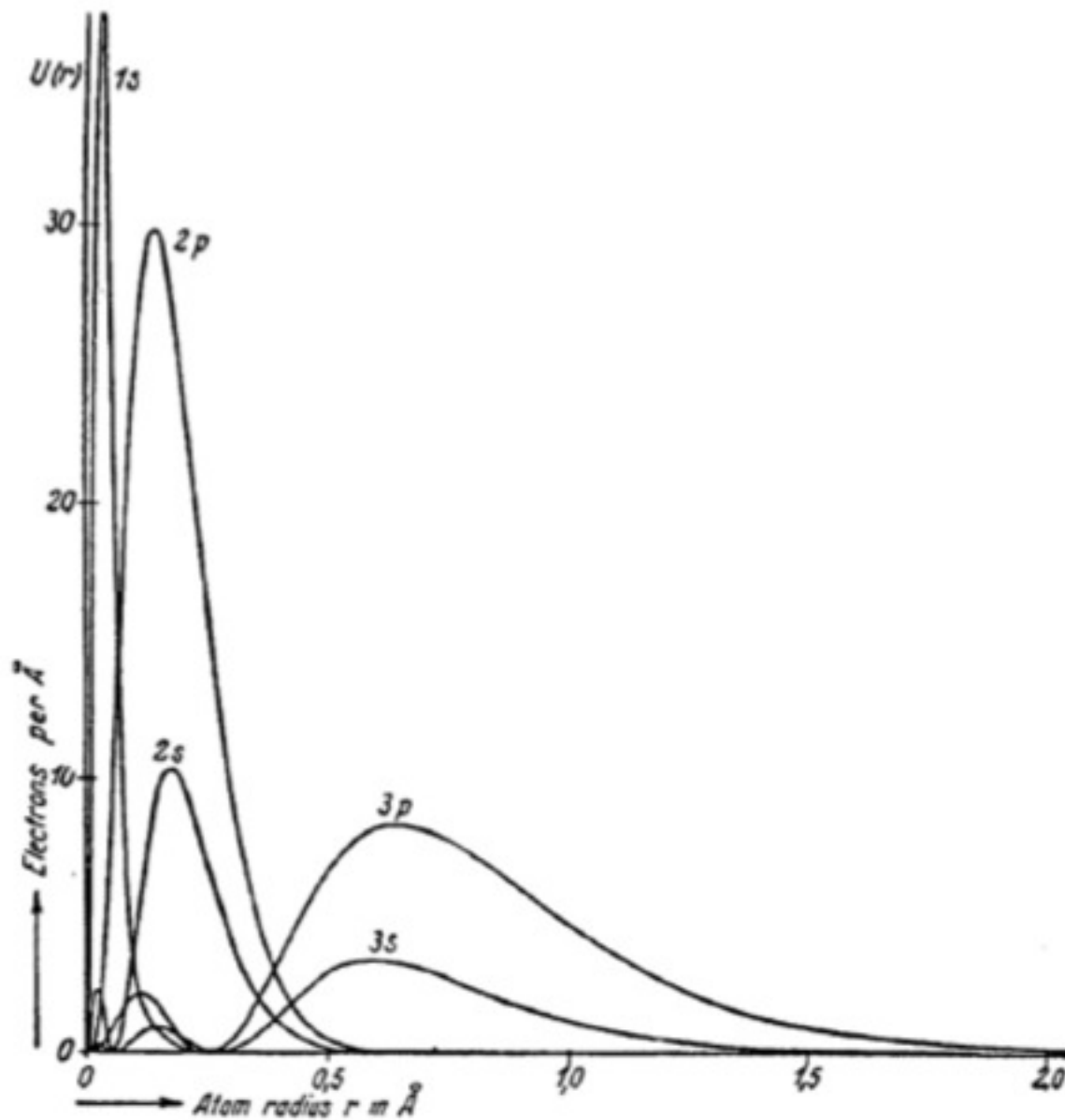


FIG. 48. f -curves for the individual electron groups of K^+
(James, *Ergebnisse der technischen Röntgenkunde*, vol. III, 1933)

Radial electron density and scattering factor curves

$$f(S) = \mathcal{F}^{-1}[\rho(r)]$$

$$\begin{cases} \rho(r) = 4\pi r^2 |R(r)|^2 \\ f(S) = \int_0^\infty \rho(r) \frac{\sin(2\pi Sr)}{2\pi Sr} dr \end{cases}$$



Figures copied and adapted from James (1982).